** AMENDED RETURN **

Form **990** — Department of the Treasury, Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990 and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047 — **2016** — Open to Public Inspection

A For the 2016 calendar year, or tax year beginning 10/01, 2016, and ending 09/30, 2017

B Check if applicable: [ ] Address change [ ] Name change [ ] Initial return [ ] Final return/terminated [X] Amended return [ ] Application pending

C Name of organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Doing business as

Number and street (or P.O. box if mail is not delivered to street address): 257 PARK AVENUE SOUTH — Room/suite

City or town, state or province, country, and ZIP or foreign postal code: NEW YORK, NY 10010

D Employer identification number: 11-6107128

E Telephone number: (212) 505-2100

G Gross receipts $ 181,534,150.

F Name and address of principal officer: FREDERIC D. KRUPP, 257 PARK AVENUE SOUTH, NEW YORK, NY 10010

H(a) Is this a group return for subordinates? [ ] Yes [X] No

H(b) Are all subordinates included? [ ] Yes [ ] No — If "No," attach a list. (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status: [X] 501(c)(3) [ ] 501(c) ( ) ◀ (insert no.) [ ] 4947(a)(1) or [ ] 527

J Website: ▶ WWW.EDF.ORG

K Form of organization: [X] Corporation [ ] Trust [ ] Association [ ] Other ▶

L Year of formation: 1967 — M State of legal domicile: NY

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities: TO PRESERVE THE NATURAL SYSTEMS ON WHICH ALL LIFE DEPENDS. GUIDED BY SCIENCE & ECONOMICS, WE FIND PRACTICAL & LASTING SOLUTIONS TO THE MOST SERIOUS ENVIRONMENTAL PROBLEMS.

2 Check this box ▶ [ ] if the organization discontinued its operations or disposed of more than 25% of its net assets.

3	Number of voting members of the governing body (Part VI, line 1a)	3	37.
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	37.
5	Total number of individuals employed in calendar year 2016 (Part V, line 2a)	5	741.
6	Total number of volunteers (estimate if necessary)	6	44.
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
b	Net unrelated business taxable income from Form 990-T, line 34	7b	0.

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			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	156,568,084.	144,334,196.
	9	Program service revenue (Part VIII, line 2g)	0.	0.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	447,063.	1,167,954.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	280,018.	1,148,744.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	157,295,165.	146,650,894.
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	20,134,527.	21,125,027.
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	70,300,343.	79,778,898.
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	590,071.	1,469,985.
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 18,474,478.		
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	63,424,742.	69,166,780.
	18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	154,449,683.	171,540,690.
	19	Revenue less expenses. Subtract line 18 from line 12	2,845,482.	-24,889,796.
			Beginning of Current Year	**End of Year**
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	242,274,499.	221,119,624.
	21	Total liabilities (Part X, line 26)	33,585,366.	36,055,740.
	22	Net assets or fund balances. Subtract line 21 from line 20	208,689,133.	185,063,884.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

▶ Signature of officer — Date: 5/31/2018

▶ Robert Young Interim CFO — Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check [ ] if self-employed	PTIN
PAUL HAMMERSCHMIDT		5/31/2018		P01384178

Firm's name ▶ BDO USA, LLP — Firm's EIN ▶ 13-5381590

Firm's address ▶ 100 PARK AVENUE NEW YORK, NY 10017-5001 — Phone no. 212-885-8000

May the IRS discuss this return with the preparer shown above? (see instructions) [X] Yes [ ] No

For Paperwork Reduction Act Notice, see the separate instructions. Form **990** (2016)

JSA
6E1010 1.000

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III . . . . [X]

1 Briefly describe the organization's mission:

TO PRESERVE THE NATURAL SYSTEMS ON WHICH ALL LIFE DEPENDS. GUIDED BY SCIENCE AND ECONOMICS, WE FIND PRACTICAL AND LASTING SOLUTIONS TO THE MOST SERIOUS ENVIRONMENTAL PROBLEMS.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . . [ ] Yes [X] No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . . [ ] Yes [X] No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses $ 75,531,282. including grants of $ 14,622,340.) (Revenue $)

ATTACHMENT 1

4b (Code:) (Expenses $ 24,511,913. including grants of $ 3,543,522.) (Revenue $)

ATTACHMENT 2

4c (Code:) (Expenses $ 22,259,763. including grants of $ 1,155,828.) (Revenue $)

ATTACHMENT 3

4d Other program services (Describe in Schedule O.) ATTACHMENT 4

(Expenses $ 19,981,075. including grants of $ 1,803,337.) (Revenue $ 0.)

4e Total program service expenses ▶ 142,284,033.

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A.*	1	X	
2	Is the organization required to complete *Schedule B, Schedule of Contributors* (see instructions)?	2	X	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		X
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II*	4	X	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III*	5		X
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		X
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		X
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		X
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		X
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10	X	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.			
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI*	11a	X	
b	Did the organization report an amount for investments-other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII*	11b		X
c	Did the organization report an amount for investments-program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII*	11c		X
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX*	11d		X
e	Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X*	11e	X	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X*	11f	X	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI and XII*	12a		X
b	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional*	12b	X	
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		X
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a	X	
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Parts I and IV*	14b	X	
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or other assistance to or for any foreign organization? *If "Yes," complete Schedule F, Parts II and IV*	15	X	
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or other assistance to or for foreign individuals? *If "Yes," complete Schedule F, Parts III and IV*	16		X
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I* (see instructions)	17	X	
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18		X
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		X

Part IV Checklist of Required Schedules *(continued)*

		Line	Yes	No
20a	Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H*	20a		X
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		
21	Did the organization report more than $5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21	X	
22	Did the organization report more than $5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22		X
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	X	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a*	24a		X
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		X
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		X
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? *If "Yes," complete Schedule L, Part II*	26		X
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? *If "Yes," complete Schedule L, Part III*	27		X
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		X
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		X
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV*	28c		X
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29	X	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		X
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		X
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? *If "Yes," complete Schedule R, Part I*	33		X
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1*	34	X	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	X	
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35b	X	
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36	X	
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		X
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? **Note.** All Form 990 filers are required to complete Schedule O.	38	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V . . . . [X]

				Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	1a	471		
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b	0.		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?		1c	X	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	741		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?		2b	X	
	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to *e-file* (see instructions)				
3a	Did the organization have unrelated business gross income of $1,000 or more during the year?		3a		X
b	If "Yes," has it filed a Form 990-T for this year? *If "No" to line 3b, provide an explanation in Schedule O*		3b		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		4a	X	
b	If "Yes," enter the name of the foreign country: ▶ ATTACHMENT 5				
	See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).				
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		5a		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		5b		X
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?		5c		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?		6a		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		6b		
7	**Organizations that may receive deductible contributions under section 170(c).**				
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?		7a		X
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?		7b		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		7c		X
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d			
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		7e		X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		7f		X
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?		7h		
8	**Sponsoring organizations maintaining donor advised funds.** Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?		8		
9	**Sponsoring organizations maintaining donor advised funds.**				
a	Did the sponsoring organization make any taxable distributions under section 4966?		9a		
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?		9b		
10	**Section 501(c)(7) organizations.** Enter:				
a	Initiation fees and capital contributions included on Part VIII, line 12	10a			
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b			
11	**Section 501(c)(12) organizations.** Enter:				
a	Gross income from members or shareholders	11a			
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b			
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?		12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b			
13	**Section 501(c)(29) qualified nonprofit health insurance issuers.**				
a	Is the organization licensed to issue qualified health plans in more than one state?		13a		
	Note. See the instructions for additional information the organization must report on Schedule O.				
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b			
c	Enter the amount of reserves on hand	13c			
14a	Did the organization receive any payments for indoor tanning services during the tax year?		14a		X
b	If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O*		14b		

Part VI Governance, Management, and Disclosure *For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*

Check if Schedule O contains a response or note to any line in this Part VI . . . . . [X]

Section A. Governing Body and Management

		Line	Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year . . . . . 1a 37 If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.			
b	Enter the number of voting members included in line 1a, above, who are independent 1b 37			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2		X
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?	3		X
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	X	
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5		X
6	Did the organization have members or stockholders?	6		X
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a		X
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b		X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:			
a	The governing body?	8a	X	
b	Each committee with authority to act on behalf of the governing body?	8b	X	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O*	9		X

Section B. Policies *(This Section B requests information about policies not required by the Internal Revenue Code.)*

		Line	Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a		X
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	X	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.			
12a	Did the organization have a written conflict of interest policy? *If "No," go to line 13*	12a	X	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	X	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this was done*	12c	X	
13	Did the organization have a written whistleblower policy?	13	X	
14	Did the organization have a written document retention and destruction policy?	14	X	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	X	
b	Other officers or key employees of the organization If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).	15b	X	
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		X
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ▶ ATTACHMENT 6

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.

[X] Own website [ ] Another's website [X] Upon request [ ] Other *(explain in Schedule O)*

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, address, and telephone number of the person who possesses the organization's books and records: ▶
ROBERT YOUNG, 257 PARK AVENUE SOUTH, NEW YORK, NY 10010 212-505-2100

Part VII **Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII . . . . [X]

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position: Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(1) CARL FERENBACH	6.00									
CHAIR	4.00	X		X				0.	0.	0.
(2) ARTHUR KERN (THRU 2/17)	2.00									
VICE-CHAIR	0.	X		X				0.	0.	0.
(3) ARTHUR P. COOLEY	2.00									
SECRETARY	0.	X		X				0.	0.	0.
(4) HERB ALLEN	2.00									
TRUSTEE	0.	X						0.	0.	0.
(5) G. LEONARD BAKER JR.	2.00									
TRUSTEE	0.	X						0.	0.	0.
(6) JOSHUA BEKENSTEIN	2.00									
TRUSTEE (FROM 10/16)	0.	X						0.	0.	0.
(7) MICHAEL BILLS	2.00									
TRUSTEE	0.	X						0.	0.	0.
(8) SALLY G. BINGHAM, M.DIV.	2.00									
TRUSTEE	0.	X						0.	0.	0.
(9) SHELBY W. BONNIE	2.00									
TRUSTEE	0.	X						0.	0.	0.
(10) WILLIAM K. BOWES, JR.	2.00									
TRUSTEE (THRU 12/16)	0.	X						0.	0.	0.
(11) CHRISTOPHER A. COLE	2.00									
TRUSTEE	0.	X						0.	0.	0.
(12) CHRISTOPHER J. COSTELLO	2.00									
TRUSTEE	0.	X						0.	0.	0.
(13) LESLIE DACH	2.00									
TRUSTEE	0.	X						0.	0.	0.
(14) RUTH DEFRIES, PH.D.	2.00									
TRUSTEE	0.	X						0.	0.	0.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees *(continued)*

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(15) ANN DOERR TRUSTEE	2.00 0.	X						0.	0.	0.
(16) SUSAN FORD DORSEY TRUSTEE	2.00 0.	X						0.	0.	0.
(17) STANLEY DRUCKENMILLER TRUSTEE	2.00 0.	X						0.	0.	0.
(18) KIRSTEN J. FELDMAN TRUSTEE	2.00 2.00	X						0.	0.	0.
(19) LYNN R. GOLDMAN, M.D., M.P.H. TRUSTEE	2.00 0.	X						0.	0.	0.
(20) CHARLES J. HAMILTON, JR., ESQ. TRUSTEE	2.00 2.00	X						0.	0.	0.
(21) GRIFFITH R. HARSH, IV, M.D. TRUSTEE	2.00 0.	X						0.	0.	0.
(22) MARK W. HEISING TRUSTEE	2.00 0.	X						0.	0.	0.
(23) BENJAMIN TODD JEALOUS TRUSTEE (THRU 5/17)	2.00 0.	X						0.	0.	0.
(24) KRISTINE JOHNSON TRUSTEE	2.00 0.	X						0.	0.	0.
(25) HONORABLE THOMAS H. KEAN TRUSTEE	2.00 2.00	X						0.	0.	0.
1b Sub-total ▶								0.	0.	0.
c Total from continuation sheets to Part VII, Section A ▶								2,778,157.	0.	314,122.
d Total (add lines 1b and 1c) ▶								2,778,157.	0.	314,122.

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶ 198

		Yes	No
3 Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
ATTACHMENT 7		

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶ 47

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees *(continued)*

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position: Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(26) XUE LAN	2.00									
TRUSTEE	0.	X						0.	0.	0.
(27) RICHARD LAZARUS, ESQ.	2.00									
TRUSTEE	0.	X						0.	0.	0.
(28) ABBY LEIGH	2.00									
TRUSTEE	2.00	X						0.	0.	0.
(29) SARAH LIAO SAU-TUNG, PH.D.	2.00									
TRUSTEE (THRU 5/17)	0.	X						0.	0.	0.
(30) KATHERINE LORENZ	2.00									
TRUSTEE	0.	X						0.	0.	0.
(31) FRANK E. LOY	2.00									
TRUSTEE	2.00	X						0.	0.	0.
(32) DR. JANE LUBCHENCO	2.00									
TRUSTEE	0.	X						0.	0.	0.
(33) SUSAN MANDEL	2.00									
TRUSTEE	2.00	X						0.	0.	0.
(34) KATHRYN MURDOCH	2.00									
TRUSTEE	0.	X						0.	0.	0.
(35) KENNETH OLDEN, PH.D.	2.00									
TRUSTEE (FROM 10/16)	0.	X						0.	0.	0.
(36) SIGNE OSTBY	2.00									
TRUSTEE	0.	X						0.	0.	0.
1b Sub-total ▶										
c Total from continuation sheets to Part VII, Section A ▶										
d Total (add lines 1b and 1c) ▶										

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶ 198

		Yes	No
3 Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees *(continued)*

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(37) STEPHEN PACALA, PH.D. TRUSTEE	2.00 0.	X						0.	0.	0.
(38) JULIAN ROBERTSON, JR. TRUSTEE	2.00 0.	X						0.	0.	0.
(39) PEGGY M. SHEPARD TRUSTEE	2.00 0.	X						0.	0.	0.
(40) DOUGLAS W. SHORENSTEIN TRUSTEE (THRU 11/16)	2.00 0.	X						0.	0.	0.
(41) EDWARD J. STERN TRUSTEE (THRU 3/17)	2.00 0.	X						0.	0.	0.
(42) LISE STRICKLER TRUSTEE	2.00 0.	X						0.	0.	0.
(43) SAM RAWLINGS WALTON TRUSTEE (THRU 5/17)	2.00 2.00	X						0.	0.	0.
(44) CHARLES F. WURSTER, PH.D. TRUSTEE	2.00 0.	X						0.	0.	0.
(45) FREDERIC D. KRUPP PRESIDENT	36.00 4.00			X				650,591.	0.	61,865.
(46) JOHN MCGEEHAN CFO (FROM 10/16)	37.00 3.00			X				121,629.	0.	14,072.
(47) CYNTHIA HALLENBECK CFO (THRU 6/16)	37.00 3.00			X				232,503.	0.	15,026.
1b Sub-total ▶										
c Total from continuation sheets to Part VII, Section A ▶										
d Total (add lines 1b and 1c) ▶										

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶ 198

		Yes	No
3 Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees *(continued)*

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position: Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(48) ERIC POOLEY	40.00									
SR VP STRATEGY & COMMUNICATION	0.					X		445,389.	0.	44,504.
(49) DIANE REGAS	40.00									
EXECUTIVE DIRECTOR	0.					X		370,931.	0.	51,445.
(50) DR. DANIEL DUDEK	40.00									
VICE PRESIDENT OF ASIA	0.					X		338,356.	0.	44,136.
(51) DAVID H. FESTA	39.00									
VP OF ECO SYSTEMS	1.00					X		319,212.	0.	34,863.
(52) PAULA HAYES	40.00									
SENIOR VP, GLOBAL STRATEGY	0.					X		299,546.	0.	48,211.
1b Sub-total ▶										
c Total from continuation sheets to Part VII, Section A ▶										
d Total (add lines 1b and 1c) ▶										

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶ 198

		Yes	No
3 Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII . . . . ☐

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts							
1a	Federated campaigns	1a	348,673.				
b	Membership dues	1b					
c	Fundraising events	1c					
d	Related organizations	1d					
e	Government grants (contributions)	1e	691,128.				
f	All other contributions, gifts, grants, and similar amounts not included above	1f	143,294,395.				
g	Noncash contributions included in lines 1a-1f: $		14,187,475.				
h	**Total.** Add lines 1a-1f ▶			144,334,196.			
Program Service Revenue			Business Code				
2a							
b							
c							
d							
e							
f	All other program service revenue						
g	**Total.** Add lines 2a-2f ▶			0.			
Other Revenue							
3	Investment income (including dividends, interest, and other similar amounts) ▶			803,427.			803,427.
4	Income from investment of tax-exempt bond proceeds ▶			0.			
5	Royalties ▶			71,431.			71,431.
		(i) Real	(ii) Personal				
6a	Gross rents						
b	Less: rental expenses						
c	Rental income or (loss)						
d	Net rental income or (loss) ▶			0.			
		(i) Securities	(ii) Other				
7a	Gross amount from sales of assets other than inventory	35,247,783.					
b	Less: cost or other basis and sales expenses	34,883,256.					
c	Gain or (loss)	364,527.					
d	Net gain or (loss) ▶			364,527.			364,527.
8a	Gross income from fundraising events (not including $ ____ of contributions reported on line 1c). See Part IV, line 18	a	0.				
b	Less: direct expenses	b	0.				
c	Net income or (loss) from fundraising events ▶			0.			
9a	Gross income from gaming activities. See Part IV, line 19	a	0.				
b	Less: direct expenses	b	0.				
c	Net income or (loss) from gaming activities ▶			0.			
10a	Gross sales of inventory, less returns and allowances	a	0.				
b	Less: cost of goods sold	b	0.				
c	Net income or (loss) from sales of inventory ▶			0.			
	Miscellaneous Revenue		Business Code				
11a	LIST RENTAL FEES			75,754.			75,754.
b	MISCELLANEOUS REVENUE			1,001,559.			1,001,559.
c							
d	All other revenue						
e	**Total.** Add lines 11a-11d ▶			1,077,313.			
12	**Total revenue.** See instructions. ▶			146,650,894.			2,316,698.

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX . . . . [X]

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	14,782,995.	14,782,995.		
2 Grants and other assistance to domestic individuals. See Part IV, line 22	0.			
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16	6,342,032.	6,342,032.		
4 Benefits paid to or for members	0.			
5 Compensation of current officers, directors, trustees, and key employees	1,325,423.	618,694.	635,325.	71,404.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0.			
7 Other salaries and wages	61,544,574.	49,751,023.	2,404,688.	9,388,863.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	2,778,573.	2,192,646.	128,672.	457,255.
9 Other employee benefits	9,325,221.	7,311,860.	494,995.	1,518,366.
10 Payroll taxes	4,805,107.	3,760,572.	264,187.	780,348.
11 Fees for services (non-employees):				
a Management	0.			
b Legal	1,710,164.	1,624,815.	35,807.	49,542.
c Accounting	222,257.		222,257.	
d Lobbying	103,183.	103,183.		
e Professional fundraising services. See Part IV, line 17	1,469,985.			1,469,985.
f Investment management fees	0.			
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.) ATCH 8	29,161,144.	28,736,014.	425,130.	
12 Advertising and promotion	2,518,299.	2,444,255.	49,418.	24,626.
13 Office expenses	9,305,721.	8,150,872.	613,721.	541,128.
14 Information technology	1,123,464.	767,227.	145,068.	211,169.
15 Royalties	0.			
16 Occupancy	8,614,888.	3,174,556.	3,593,352.	1,846,980.
17 Travel	6,572,824.	5,819,305.	151,396.	602,123.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials	0.			
19 Conferences, conventions, and meetings	3,778,588.	3,469,378.	153,674.	155,536.
20 Interest	0.			
21 Payments to affiliates	0.			
22 Depreciation, depletion, and amortization	2,168,191.	730,808.	904,043.	533,340.
23 Insurance	0.			
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a SUBSCRIPTIONS & DUES	1,485,603.	1,148,038.	143,584.	193,981.
b GRANT RELATED EXPENSES	825,965.	240,024.	116,102.	469,839.
c MISCELLANEOUS EXPENSES	1,576,489.	1,115,736.	300,760.	159,993.
d				
e All other expenses				
25 **Total functional expenses.** Add lines 1 through 24e	171,540,690.	142,284,033.	10,782,179.	18,474,478.
26 **Joint costs.** Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ▶ [X] if following SOP 98-2 (ASC 958-720)	12,735,670.	10,283,030.	1,341,117.	1,111,523.

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X. [X]

			(A) Beginning of year		(B) End of year
Assets	1	Cash - non-interest-bearing	3,908,362.	1	8,537,451.
	2	Savings and temporary cash investments	61,188,428.	2	66,143,051.
	3	Pledges and grants receivable, net	127,570,783.	3	91,951,750.
	4	Accounts receivable, net	0.	4	0.
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L	0.	5	0.
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L	0.	6	0.
	7	Notes and loans receivable, net	0.	7	0.
	8	Inventories for sale or use	115,155.	8	110,504.
	9	Prepaid expenses and deferred charges	1,620,581.	9	1,796,012.
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D — 10a: 27,095,156.			
	b	Less: accumulated depreciation — 10b: 15,168,173.	12,793,397.	10c	11,926,983.
	11	Investments - publicly traded securities	23,269,706.	11	26,486,398.
	12	Investments - other securities. See Part IV, line 11	7,841,482.	12	9,618,467.
	13	Investments - program-related. See Part IV, line 11	0.	13	0.
	14	Intangible assets	0.	14	0.
	15	Other assets. See Part IV, line 11	3,966,605.	15	4,549,008.
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)	242,274,499.	16	221,119,624.
Liabilities	17	Accounts payable and accrued expenses	12,778,377.	17	13,448,184.
	18	Grants payable	2,006,631.	18	3,468,520.
	19	Deferred revenue	3,015,599.	19	3,261,838.
	20	Tax-exempt bond liabilities	0.	20	0.
	21	Escrow or custodial account liability. Complete Part IV of Schedule D	0.	21	0.
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L	0.	22	0.
	23	Secured mortgages and notes payable to unrelated third parties	8,834,176.	23	7,729,423.
	24	Unsecured notes and loans payable to unrelated third parties	0.	24	0.
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	6,950,583.	25	8,147,775.
	26	**Total liabilities.** Add lines 17 through 25	33,585,366.	26	36,055,740.
Net Assets or Fund Balances		**Organizations that follow SFAS 117 (ASC 958), check here ▶ [X] and complete lines 27 through 29, and lines 33 and 34.**			
	27	Unrestricted net assets	50,614,666.	27	54,321,994.
	28	Temporarily restricted net assets	150,781,048.	28	123,420,950.
	29	Permanently restricted net assets	7,293,419.	29	7,320,940.
		Organizations that do not follow SFAS 117 (ASC 958), check here ▶ [ ] and complete lines 30 through 34.			
	30	Capital stock or trust principal, or current funds		30	
	31	Paid-in or capital surplus, or land, building, or equipment fund		31	
	32	Retained earnings, endowment, accumulated income, or other funds		32	
	33	Total net assets or fund balances	208,689,133.	33	185,063,884.
	34	Total liabilities and net assets/fund balances	242,274,499.	34	221,119,624.

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI . . . . . ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	146,650,894.
2	Total expenses (must equal Part IX, column (A), line 25)	2	171,540,690.
3	Revenue less expenses. Subtract line 2 from line 1	3	-24,889,796.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	208,689,133.
5	Net unrealized gains (losses) on investments	5	1,264,547.
6	Donated services and use of facilities	6	0.
7	Investment expenses	7	0.
8	Prior period adjustments	8	0.
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	185,063,884.

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII . . . . . ☐

			Yes	No
1	Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		X
	If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
b	Were the organization's financial statements audited by an independent accountant?	2b	X	
	If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: ☐ Separate basis ☒ Consolidated basis ☐ Both consolidated and separate basis			
c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	X	
	If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a		X
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.	3b		

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ **Attach to Form 990 or Form 990-EZ.**
▶ **Information about Schedule A (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.**

OMB No. 1545-0047
2016
Open to Public Inspection

Name of the organization
ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number
11-6107128

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

1 [ ] A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 [ ] A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990 or 990-EZ).)

3 [ ] A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 [ ] A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: ____________

5 [ ] An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)

6 [ ] A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 [X] An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

8 [ ] A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

9 [ ] An agricultural research organization described in **section 170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university: ____________

10 [ ] An organization that normally receives: (1) more than 33 1/3 % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3 % of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)

11 [ ] An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**

12 [ ] An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.

a [ ] **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**

b [ ] **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**

c [ ] **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**

d [ ] **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**

e [ ] Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.

f Enter the number of supported organizations . . . .

g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-10 above (see instructions))	(iv) Is the organization listed in your governing document? Yes	No	(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
(A)						
(B)						
(C)						
(D)						
(E)						
Total						

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	145,135,042.	140,115,942.	135,480,611.	156,568,084.	144,334,196.	721,633,875.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						0.
3 The value of services or facilities furnished by a governmental unit to the organization without charge						0.
4 **Total.** Add lines 1 through 3	145,135,042.	140,115,942.	135,480,611.	156,568,084.	144,334,196.	721,633,875.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						115,608,637.
6 **Public support.** Subtract line 5 from line 4.						606,025,238.

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
7 Amounts from line 4	145,135,042.	140,115,942.	135,480,611.	156,568,084.	144,334,196.	721,633,875.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	1,031,829.	783,157.	1,110,770.	1,066,424.	874,858.	4,867,038.
9 Net income from unrelated business activities, whether or not the business is regularly carried on	25,646.					25,646.
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) ATCH. 1	540,291.	217,870.	158,457.	279,519.	1,077,313.	2,273,450.
11 **Total support.** Add lines 7 through 10						728,800,009.

12 Gross receipts from related activities, etc. (see instructions) . . . 12

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** . . . ▶ ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2016 (line 6, column (f) divided by line 11, column (f)) . . . 14 83.15 %

15 Public support percentage from 2015 Schedule A, Part II, line 14 . . . 15 80.11 %

16a **33 1/3 % support test - 2016.** If the organization did not check the box on line 13, and line 14 is 33 1/3 % or more, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . ▶ ☒

b **33 1/3 % support test - 2015.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3 % or more, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . ▶ ☐

17a **10%-facts-and-circumstances test - 2016.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . ▶ ☐

b **10%-facts-and-circumstances test - 2015.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions . . . ▶ ☐

Part III Support Schedule for Organizations Described in Section 509(a)(2)
(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public support.** (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 **Total support.** (Add lines 9, 10c, 11, and 12.)						

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

15	Public support percentage for 2016 (line 8, column (f) divided by line 13, column (f))	15	%
16	Public support percentage from 2015 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17	Investment income percentage for **2016** (line 10c, column (f) divided by line 13, column (f))	17	%
18	Investment income percentage from **2015** Schedule A, Part III, line 17	18	%

19a **33 1/3% support tests - 2016.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

b **33 1/3% support tests - 2015.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶ ☐

Part IV Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

		Line	Yes	No
1	Are all of the organization's supported organizations listed by name in the organization's governing documents? *If "No," describe in **Part VI** how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.*	1		
2	Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? *If "Yes," explain in **Part VI** how the organization determined that the supported organization was described in section 509(a)(1) or (2).*	2		
3a	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? *If "Yes," answer (b) and (c) below.*	3a		
b	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? *If "Yes," describe in **Part VI** when and how the organization made the determination.*	3b		
c	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? *If "Yes," explain in **Part VI** what controls the organization put in place to ensure such use.*	3c		
4a	Was any supported organization not organized in the United States ("foreign supported organization")? *If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.*	4a		
b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? *If "Yes," describe in **Part VI** how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.*	4b		
c	Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? *If "Yes," explain in **Part VI** what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.*	4c		
5a	Did the organization add, substitute, or remove any supported organizations during the tax year? *If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in **Part VI**, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).*	5a		
b	**Type I or Type II only.** Was any added or substituted supported organization part of a class already designated in the organization's organizing document?	5b		
c	**Substitutions only.** Was the substitution the result of an event beyond the organization's control?	5c		
6	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? *If "Yes," provide detail in **Part VI**.*	6		
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? *If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).*	7		
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? *If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).*	8		
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? *If "Yes," provide detail in **Part VI**.*	9a		
b	Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? *If "Yes," provide detail in **Part VI**.*	9b		
c	Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? *If "Yes," provide detail in **Part VI**.*	9c		
10a	Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? *If "Yes," answer 10b below.*	10a		
b	Did the organization have any excess business holdings in the tax year? *(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)*	10b		

Part IV Supporting Organizations *(continued)*

			Yes	No
11	Has the organization accepted a gift or contribution from any of the following persons?			
a	A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?	**11a**		
b	A family member of a person described in (a) above?	**11b**		
c	A 35% controlled entity of a person described in (a) or (b) above? *If "Yes" to a, b, or c, provide detail in **Part VI**.*	**11c**		

Section B. Type I Supporting Organizations

			Yes	No
1	Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? *If "No," describe in **Part VI** how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.*	**1**		
2	Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? *If "Yes," explain in **Part VI** how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.*	**2**		

Section C. Type II Supporting Organizations

			Yes	No
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? *If "No," describe in **Part VI** how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).*	**1**		

Section D. All Type III Supporting Organizations

			Yes	No
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?	**1**		
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? *If "No," explain in **Part VI** how the organization maintained a close and continuous working relationship with the supported organization(s).*	**2**		
3	By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? *If "Yes," describe in **Part VI** the role the organization's supported organizations played in this regard.*	**3**		

Section E. Type III Functionally Integrated Supporting Organizations

1 *Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (**see instructions**).*

a ☐ The organization satisfied the Activities Test. *Complete **line 2** below.*

b ☐ The organization is the parent of each of its supported organizations. *Complete **line 3** below.*

c ☐ The organization supported a governmental entity. *Describe in **Part VI** how you supported a government entity (see instructions).*

			Yes	No
2	Activities Test. ***Answer (a) and (b) below.***			
a	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? *If "Yes," then in **Part VI identify those supported organizations and explain** how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.*	**2a**		
b	Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? *If "Yes," explain in **Part VI** the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.*	**2b**		
3	Parent of Supported Organizations. ***Answer (a) and (b) below.***			
a	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? *Provide details in **Part VI**.*	**3a**		
b	Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? *If "Yes," describe in **Part VI** the role played by the organization in this regard.*	**3b**		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

1 ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI). **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1 Net short-term capital gain	1		
2 Recoveries of prior-year distributions	2		
3 Other gross income (see instructions)	3		
4 Add lines 1 through 3.	4		
5 Depreciation and depletion	5		
6 Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6		
7 Other expenses (see instructions)	7		
8 **Adjusted Net Income** (subtract lines 5, 6, and 7 from line 4).	8		

Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1 Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):			
a Average monthly value of securities	1a		
b Average monthly cash balances	1b		
c Fair market value of other non-exempt-use assets	1c		
d **Total** (add lines 1a, 1b, and 1c)	1d		
e **Discount** claimed for blockage or other factors (explain in detail in **Part VI**):			
2 Acquisition indebtedness applicable to non-exempt-use assets	2		
3 Subtract line 2 from line 1d.	3		
4 Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions).	4		
5 Net value of non-exempt-use assets (subtract line 4 from line 3)	5		
6 Multiply line 5 by .035.	6		
7 Recoveries of prior-year distributions	7		
8 **Minimum Asset Amount** (add line 7 to line 6)	8		

Section C - Distributable Amount			Current Year
1 Adjusted net income for prior year (from Section A, line 8, Column A)	1		
2 Enter 85% of line 1.	2		
3 Minimum asset amount for prior year (from Section B, line 8, Column A)	3		
4 Enter greater of line 2 or line 3.	4		
5 Income tax imposed in prior year	5		
6 **Distributable Amount.** Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions).	6		

7 ☐ Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).

Part V **Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations** *(continued)*

Section D - Distributions		**Current Year**
1	Amounts paid to supported organizations to accomplish exempt purposes	
2	Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3	Administrative expenses paid to accomplish exempt purposes of supported organizations	
4	Amounts paid to acquire exempt-use assets	
5	Qualified set-aside amounts (prior IRS approval required)	
6	Other distributions (describe in **Part VI**). See instructions.	
7	**Total annual distributions.** Add lines 1 through 6.	
8	Distributions to attentive supported organizations to which the organization is responsive (provide details in **Part VI**). See instructions.	
9	Distributable amount for 2016 from Section C, line 6	
10	Line 8 amount divided by Line 9 amount	

	Section E - Distribution Allocations (see instructions)	**(i) Excess Distributions**	**(ii) Underdistributions Pre-2016**	**(iii) Distributable Amount for 2016**
1	Distributable amount for 2016 from Section C, line 6			
2	Underdistributions, if any, for years prior to 2016 (reasonable cause required-explain in Part VI). See instructions.			
3	Excess distributions carryover, if any, to 2016:			
a				
b				
c	From 2013.			
d	From 2014.			
e	From 2015.			
f	**Total** of lines 3a through e			
g	Applied to underdistributions of prior years			
h	Applied to 2016 distributable amount			
i	Carryover from 2011 not applied (see instructions)			
j	Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4	Distributions for 2016 from Section D, line 7: $			
a	Applied to underdistributions of prior years			
b	Applied to 2016 distributable amount			
c	Remainder. Subtract lines 4a and 4b from 4.			
5	Remaining underdistributions for years prior to 2016, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in Part VI. See instructions.			
6	Remaining underdistributions for 2016. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in Part VI. See instructions.			
7	**Excess distributions carryover to 2017.** Add lines 3j and 4c.			
8	Breakdown of line 7:			
a				
b	Excess from 2013. . . .			
c	Excess from 2014. . . .			
d	Excess from 2015. . . .			
e	Excess from 2016. . . .			

Part VI **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

ATTACHMENT 1

SCHEDULE A, PART II - OTHER INCOME

DESCRIPTION	2012	2013	2014	2015	2016	TOTAL
OTHER REVENUE	540,291.	217,870.	158,457.	279,519.	1,077,313.	2,273,450.
TOTALS	540,291.	217,870.	158,457.	279,519.	1,077,313.	2,273,450.

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.**

OMB No. 1545-0047

2016

Name of the organization	**Employer identification number**
ENVIRONMENTAL DEFENSE FUND, INCORPORATED	11-6107128

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	[X] 501(c)(3) (enter number) organization
	[ ] 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	[ ] 527 political organization
Form 990-PF	[ ] 501(c)(3) exempt private foundation
	[ ] 4947(a)(1) nonexempt charitable trust treated as a private foundation
	[ ] 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

[ ] For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

[X] For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

[ ] For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

[ ] For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . ▶ $ ______________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number 11-6107128

Part I **Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1		$ 16,663,250.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
2		$ 16,203,076.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
3		$ 4,300,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
4		$ 4,050,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
5		$ 3,750,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
6		$ 3,000,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number 11-6107128

Part I **Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7		$ 3,000,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number 11-6107128

Part II **Noncash Property** (See instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

Name of organization ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number 11-6107128

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor.** Complete columns **(a)** through **(e) and** the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

SCHEDULE C (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ Complete if the organization is described below. ▶ Attach to Form 990 or Form 990-EZ.
▶ Information about Schedule C (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990.*

OMB No. 1545-0047

2016

Open to Public Inspection

If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization	Employer identification number
ENVIRONMENTAL DEFENSE FUND, INCORPORATED	11-6107128

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV. (see instructions for definition of "political campaign activities")

2 Political campaign activity expenditures (see instructions) . . . . . . ▶ $

3 Volunteer hours for political campaign activities (see instructions) . . . . . .

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 . . . ▶ $

2 Enter the amount of any excise tax incurred by organization managers under section 4955 . . ▶ $

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? . . . ☐ Yes ☐ No

4a Was a correction made? . . . ☐ Yes ☐ No

b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities . . . . ▶ $

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities . . . . ▶ $

3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b . . . . ▶ $

4 Did the filing organization file **Form 1120-POL** for this year? . . . ☐ Yes ☐ No

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

	(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					

Part II-A **Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

A Check ▶ ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).

B Check ▶ ☐ if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	**(a)** Filing organization's totals	**(b)** Affiliated group totals
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)		
b Total lobbying expenditures to influence a legislative body (direct lobbying)		
c Total lobbying expenditures (add lines 1a and 1b)		
d Other exempt purpose expenditures		
e Total exempt purpose expenditures (add lines 1c and 1d)		
f Lobbying nontaxable amount. Enter the amount from the following table in both columns.		

If the amount on line 1e, column (a) or (b) is:	**The lobbying nontaxable amount is:**
Not over $500,000	20% of the amount on line 1e.
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000.
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000.
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000.
Over $17,000,000	$1,000,000.

	(a)	(b)
g Grassroots nontaxable amount (enter 25% of line 1f)		
h Subtract line 1g from line 1a. If zero or less, enter -0-		
i Subtract line 1f from line 1c. If zero or less, enter -0-		

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ☐ Yes ☐ No

4-Year Averaging Period Under section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	**(a)** 2013	**(b)** 2014	**(c)** 2015	**(d)** 2016	**(e)** Total
2a Lobbying nontaxable amount					
b Lobbying ceiling amount (150% of line 2a, column (e))					
c Total lobbying expenditures					
d Grassroots nontaxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Schedule C (Form 990 or 990-EZ) 2016

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

		(a) Yes	(a) No	(b) Amount
	For each "Yes," response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.			
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a	Volunteers?		X	
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	X		
c	Media advertisements?	X		108,309.
d	Mailings to members, legislators, or the public?		X	
e	Publications, or published or broadcast statements?		X	
f	Grants to other organizations for lobbying purposes?	X		4,570,238.
g	Direct contact with legislators, their staffs, government officials, or a legislative body?	X		193,673.
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?	X		8,704.
i	Other activities?	X		103,183.
j	Total. Add lines 1c through 1i			4,984,107.
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		X	
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of $2,000 or less?	2		
3	Did the organization agree to carry over lobbying and political campaign activity expenditures from the prior year?	3		

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," OR (b) Part III-A, line 3, is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions); and Part II-B, line 1. Also, complete this part for any additional information.

SEE PAGE 4

Part IV Supplemental Information *(continued)*

PART II-B, LINE 1:

ENVIRONMENTAL DEFENSE FUND (EDF) ENGAGES IN LIMITED AMOUNTS OF LOBBYING ACTIVITIES TO FURTHER ITS MISSION TO PRESERVE THE NATURAL SYSTEMS ON WHICH ALL LIFE DEPENDS. IN FY2017, EDF SPENT A TOTAL OF $4,984,107. WHICH COMPRISES 3% OF ITS TOTAL EXPENDITURES, ON INFLUENCING LEGISLATION.

CONSISTENT WITH ITS TAX-EXEMPT PURPOSE, EDF'S LOBBYING ACTIVITIES WERE FOCUSED ON THE PROTECTION OF THE ENVIRONMENT, HUMAN HEALTH, AND WILDLIFE. DURING FY2017, EDF DEFENDED THE ENDANGERED SPECIES ACT OF 1973 TO PROTECT SPECIES AND HABITATS FROM EXTINCTION. IN ADDITION, EDF WORKED TO SAVE THE ENVIRONMENTAL PROTECTION AGENCY FROM BUDGET CUTS, ENSURED THE PRESERVATION OF THE BUREAU OF LAND MANAGEMENT'S METHANE AND WASTE PREVENTION RULE, AND SAFEGUARDED AGAINST THE ROLL-BACKS OF OTHER FEDERAL ENVIRONMENTAL PROTECTION LAWS. EDF WAS ALSO ACTIVE IN VOICING ITS POSITION ON NOMINATIONS FOR FEDERAL ENVIRONMENTAL POSTS. MUCH OF THE LOBBYING WORK AROUND THESE ISSUES WAS CARRIED OUT BY MOMS CLEAN AIR FORCE, A SPECIAL PROJECT OF EDF.

IN ADDITION TO EFFORTS FOCUSED ON FEDERAL LEGISLATION, EDF WAS ACTIVE DURING FY2017 AT THE STATE-LEVEL, INCLUDING IN THE STATES OF CALIFORNIA, OHIO, PENNSYLVANIA AND NEW JERSEY. THE WORK IN CALIFORNIA WAS DIRECTED TOWARD EXTENDING THE STATE'S CAP AND TRADE PROGRAM WITH THE SUCCESSFUL PASSAGE OF AB 398 AS WELL AS LEGISLATION AIMED AT GREENHOUSE GAS REGULATIONS AND ENERGY EFFICIENCY, SUCH AS AB 378 AND SB 370. EDF ALSO TURNED ITS EFFORTS TO METHANE RULES IN PENNSYLVANIA, NATURAL GAS IN OHIO

Part IV Supplemental Information *(continued)*

AND ENERGY EFFICIENCY IN NEW JERSEY.

EDF ACCOMPLISHES ITS LOBBYING OBJECTIVES THROUGH BOTH IN-HOUSE STAFF AND OUTSIDE CONSULTANTS, WHO ASSIST WITH STRATEGIZING AND PLANNING, AND THROUGH GRASSROOTS AND DIRECT COMMUNICATIONS.

EDF'S LARGEST LOBBY EXPENDITURE DURING FY2017 WAS A GRANT OF $4,570,238. PROVIDED TO ENVIRONMENTAL DEFENSE ACTION FUND (EDAF), THE POLITICAL ACTION PARTNER AND AFFILIATED 501(C)(4) ORGANIZATION OF EDF. THE PURPOSE OF THE GRANT WAS TO PROVIDE GENERAL SUPPORT FOR THE EDUCATIONAL AND LOBBYING ACTIVITIES OF EDAF IN FURTHERANCE OF ITS MISSION, WHICH IS CONSISTENT WITH THE SHARED PROGRAM OBJECTIVES OF EDF AND EDAF. AS REQUIRED BY LAW, EDAF IS RESTRICTED FROM USING ANY OF THE EDF LOBBY GRANT FUNDS FOR POLITICAL CAMPAIGN ACTIVITIES UNDER THE TERMS OF THE GRANT AGREEMENT BETWEEN EDF AND EDAF.

SCHEDULE D (Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

▶ Attach to Form 990.

▶ Information about Schedule D (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No. 1545-0047

2016

Open to Public Inspection

Name of the organization	Employer identification number
ENVIRONMENTAL DEFENSE FUND, INCORPORATED	11-6107128

Part I **Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate value of contributions to (during year)		
3	Aggregate value of grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

Part II **Conservation Easements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

☐ Preservation of land for public use (e.g., recreation or education)
☐ Protection of natural habitat
☐ Preservation of open space
☐ Preservation of a historically important land area
☐ Preservation of a certified historic structure

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

			Held at the End of the Tax Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ ____

4 Number of states where property subject to conservation easement is located ▶ ____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ ____

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ $ ____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.** Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included in Form 990, Part VIII, line 1 ▶ $ ____

(ii) Assets included in Form 990, Part X ▶ $ ____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenue included in Form 990, Part VIII, line 1 ▶ $ ____

b Assets included in Form 990, Part X ▶ $ ____

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a ☐ Public exhibition
b ☐ Scholarly research
c ☐ Preservation for future generations
d ☐ Loan or exchange programs
e ☐ Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements.
Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

		Amount
c Beginning balance	1c	
d Additions during the year	1d	
e Distributions during the year	1e	
f Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII ☐

Part V Endowment Funds.
Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	12,453,146.	12,170,777.	7,492,238.	6,401,844.	5,590,776.
b Contributions	27,521.				
c Net investment earnings, gains, and losses	1,504,407.	598,267.	377,594.	1,335,610.	1,058,562.
d Grants or scholarships					
e Other expenditures for facilities and programs	742,844.	315,898.	282,629.	245,216.	247,494.
f Administrative expenses					
g End of year balance	13,242,230.	12,453,146.	7,587,203.	7,492,238.	6,401,844.

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

a Board designated or quasi-endowment ▶ ______ %

b Permanent endowment ▶ 55.2800 %

c Temporarily restricted endowment ▶ 44.7200 %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

		Yes	No
(i) unrelated organizations	3a(i)		X
(ii) related organizations	3a(ii)		X
b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.
Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings		393,319.	76,697.	316,622.
c Leasehold improvements		16,431,815.	7,113,936.	9,317,879.
d Equipment		4,640,586.	3,425,790.	1,214,796.
e Other		5,629,436.	4,551,750.	1,077,686.
Total. Add lines 1a through 1e. *(Column (d) must equal Form 990, Part X, column (B), line 10c.)* ▶				11,926,983.

Part VII Investments - Other Securities.
Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. *(Column (b) must equal Form 990, Part X, col. (B) line 12.)* ▶		

Part VIII Investments - Program Related.
Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. *(Column (b) must equal Form 990, Part X, col. (B) line 13.)* ▶		

Part IX Other Assets.
Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. *(Column (b) must equal Form 990, Part X, col. (B) line 15.)* ▶	

Part X Other Liabilities.
Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) ANNUITIES PAYABLE	4,777,168.
(3) RETIREMENT PLAN LIABILITY	3,370,607.
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. *(Column (b) must equal Form 990, Part X, col. (B) line 25.)* ▶	8,147,775.

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII [X]

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return. Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements			1	149,012,436.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:				
a	Net unrealized gains (losses) on investments	2a	1,264,547.		
b	Donated services and use of facilities	2b	1,096,995.		
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIII.)	2d			
e	Add lines **2a** through **2d**			2e	2,361,542.
3	Subtract line **2e** from line **1**			3	146,650,894.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII.)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total revenue. Add lines **3** and **4c.** *(This must equal Form 990, Part I, line 12.)*			5	146,650,894.

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return. Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements			1	172,637,685.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:				
a	Donated services and use of facilities	2a	1,096,995.		
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIII.)	2d			
e	Add lines **2a** through **2d**			2e	1,096,995.
3	Subtract line **2e** from line **1**			3	171,540,690.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII.)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total expenses. Add lines **3** and **4c.** *(This must equal Form 990, Part I, line 18.)*			5	171,540,690.

Part XIII Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

SEE PAGE 5

Part XIII Supplemental Information *(continued)*

PART V, LINE 1, COLUMN B:

THE NET ASSETS AT SEPTEMBER 30, 2016 HAVE BEEN RESTATED TO CORRECT A DONOR CLASSIFICATION OF NET ASSETS FROM UNRESTRICTED TO PERMANENTLY RESTRICTED NET ASSETS. AS SUCH, THE ENDOWMENT FUNDS HAVE BEEN RESTATED AT SEPTEMBER 30, 2016 DUE TO SUCH CLASSIFICATION.

PART V, LINE 4:

ENVIRONMENTAL DEFENSE FUND, INC.'S ENDOWMENT CONSISTS OF NINETEEN INDIVIDUAL FUNDS, ESTABLISHED FOR A VARIETY OF PURPOSES AND CONSISTING ENTIRELY OF DONOR-RESTRICTED FUNDS. THE ORGANIZATION HAS ADOPTED INVESTMENT AND SPENDING POLICIES FOR ENDOWMENT ASSETS THAT ATTEMPT TO PROVIDE A PREDICTABLE STREAM OF FUNDING FOR PROGRAMS SUPPORTED BY ITS ENDOWMENT WHILE SEEKING TO MAINTAIN THE PURCHASING POWER OF THE ENDOWMENT ASSETS. UNDER THIS POLICY, AS APPROVED BY THE BOARD OF TRUSTEES, THE ENDOWMENT ASSETS ARE INVESTED WITH A FOCUS ON EARNING MARKET RETURNS OR BETTER WHILE ASSUMING A MODERATE LEVEL OF INVESTMENT RISK.

PART X, LINE 2:

IN ACCORDANCE WITH U.S. GAAP, ENVIRONMENTAL DEFENSE FUND, INC. (THE "REPORTING ORGANIZATION") MUST RECOGNIZE A TAX LIABILITY ASSOCIATED WITH TAX POSITIONS TAKEN FOR TAX RETURN PURPOSES WHEN IT IS MORE LIKELY THAN NOT THAT THE POSITION WILL NOT BE SUSTAINED UPON EXAMINATION BY A TAXING AUTHORITY. THE REPORTING ORGANIZATION DOES NOT BELIEVE IT HAS TAKEN ANY MATERIAL UNCERTAIN TAX POSITIONS AND, ACCORDINGLY, IT HAS NOT RECORDED ANY LIABILITY FOR UNRECOGNIZED TAX BENEFITS.

SCHEDULE F (Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.
▶ Attach to Form 990.
▶ Information about Schedule F (Form 990) and its instructions is at *www.irs.gov/form990.*

OMB No. 1545-0047

2016

Open to Public Inspection

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number: 11-6107128

Part I **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

1 **For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . [X] Yes [ ] No

2 **For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

3 Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in the region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region
(1) EAST ASIA AND THE PACIFIC	1.	17.	GRANTMAKING		4,029,740.
(2) SOUTH AMERICA			GRANTMAKING		899,075.
(3) EUROPE	1.	8.	GRANTMAKING		836,659.
(4) NORTH AMERICA	1.	12.	GRANTMAKING		548,731.
(5) CENTRAL AMERICA/CARIBBEAN			GRANTMAKING		5,600.
(6) SOUTH ASIA			GRANTMAKING		20,000.
(7)					
(8)					
(9)					
(10)					
(11)					
(12)					
(13)					
(14)					
(15)					
(16)					
(17)					
3a Sub-total	3.	37.			6,339,805.
b Total from continuation sheets to Part I					
c Totals (add lines 3a and 3b)	3.	37.			6,339,805.

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than $5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			CENTRAL AMERICA/CARIBBEA	OCEANS WORK	5,600.	WIRE			
(2)			EAST ASIA & PACIFIC	FISHERIES	17,000.	WIRE			
(3)			EAST ASIA & PACIFIC	FISHERIES	15,000.	WIRE			
(4)			EAST ASIA & PACIFIC	ECOSYSTEMS WATER	132,000.	WIRE			
(5)			EUROPE	OCEANS EUROPE	78,818.	WIRE			
(6)			EUROPE	CLIMATE & ENERGY	231,500.	WIRE			
(7)			EUROPE	CLIMATE & ENERGY	29,612.	WIRE			
(8)			EUROPE	CLIMATE & ENERGY	40,000.	WIRE			
(9)			EUROPE	CLIMATE & ENERGY/GAS	6,050.	WIRE			
(10)			EUROPE	EMISSIONS TRADING	9,913.	WIRE			
(11)			EUROPE	SRMGI INITIATIVE	157,315.	WIRE			
(12)			EUROPE	CLIMATE & ENERGY/GAS	200,000.	WIRE			
(13)			EUROPE	OCEANS	20,600.	WIRE			
(14)			EUROPE	OCEANS	38,777.	WIRE			
(15)			NORTH AMERICA	CLIMATE & ENERGY	20,311.	WIRE			
(16)			NORTH AMERICA	METHANE WORK	30,100.	WIRE			

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . ▶

3 Enter total number of other organizations or entities . . . . ▶

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than $5,000. Part II can be duplicated if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)		NORTH AMERICA	CLIMATE & ENERGY/GAS	173,200.	WIRE			
(2)		NORTH AMERICA	CLIMATE & ENERGY	70,000.	WIRE			
(3)		NORTH AMERICA	CLIMATE & ENERGY/GAS	40,000.	WIRE			
(4)		NORTH AMERICA	CLIMATE & ENERGY	22,000.	WIRE			
(5)		NORTH AMERICA	CLIMATE & ENERGY/GAS	173,918.	WIRE			
(6)		NORTH AMERICA	CLIMATE & ENERGY	19,202.	WIRE			
(7)		SOUTH AMERICA	CLIMATE & ENERGY/GAS	22,000.	WIRE			
(8)		SOUTH AMERICA	CLIMATE & ENERGY	47,000.	WIRE			
(9)		SOUTH AMERICA	CLIMATE & ENERGY	20,500.	WIRE			
(10)		SOUTH AMERICA	CLIMATE & ENERGY	148,144.	WIRE			
(11)		SOUTH AMERICA	PARTNERSHIP PROGRAMS	14,000.	WIRE			
(12)		SOUTH AMERICA	CLIMATE & ENERGY	109,638.	WIRE			
(13)		SOUTH AMERICA	CLIMATE & ENERGY	105,000.	WIRE			
(14)		SOUTH AMERICA	CLIMATE & ENERGY	71,137.	WIRE			
(15)		SOUTH AMERICA	SUSTAIN-ABILITY	346,656.	WIRE			
(16)		SOUTH AMERICA	OCEANS	15,000.	WIRE			

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . ▶

3 Enter total number of other organizations or entities . . . . ▶

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than $5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			SOUTH ASIA	CLIMATE & ENERGY	20,000.	WIRE			
(2)			EAST ASIA & PACIFIC	CARBON MARKE	3,865,740.	WIRE			
(3)			EUROPE	OCEANS	24,074.	WIRE			
(4)									
(5)									
(6)									
(7)									
(8)									
(9)									
(10)									
(11)									
(12)									
(13)									
(14)									
(15)									
(16)									

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . ▶ 35.

3 Enter total number of other organizations or entities . . . . ▶

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed.

	(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of noncash assistance	(g) Description of noncash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1)								
(2)								
(3)								
(4)								
(5)								
(6)								
(7)								
(8)								
(9)								
(10)								
(11)								
(12)								
(13)								
(14)								
(15)								
(16)								
(17)								
(18)								

Part IV Foreign Forms

1	Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)*	[X] Yes	[ ] No
2	Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; do not file with Form 990)*	[ ] Yes	[X] No
3	Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations (see Instructions for Form 5471)*	[X] Yes	[ ] No
4	Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)*	[ ] Yes	[X] No
5	Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865)*	[ ] Yes	[X] No
6	Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; do not file with Form 990)*	[ ] Yes	[X] No

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

PART I, LINE 2:

ENVIRONMENTAL DEFENSE FUND, INC.'S ("EDF") HAS A NUMBER OF GRANTS AND OTHER ASSISTANCE IT PROVIDES TO OTHER ENTITIES. THE MAJORITY OF THESE GRANTS ARE TO OTHER ENVIRONMENTAL AND LIKE-MINDED ENTITIES THAT PERFORM WORK ALONGSIDE OF EDF IN THE ACCOMPLISHMENT OF ITS MISSION. EDF MONITORS THE PERFORMANCE OF THE GRANT RECIPIENTS BY WRITTEN REPORTS, SITE VISITS, VERBAL COMMUNICATION AND REVIEW. PARTIAL PAYMENTS ARE TYPICALLY MADE ON A GRANT UNTIL A PATTERN OF PROVEN ACHIEVEMENTS ON OBJECTIVES IS DEMONSTRATED. IN THE END EDF TYPICALLY PREPARES A REPORT TO FUNDING ENTITIES ON THE USE OF GRANT FUNDS BOTH BY ITSELF AND BY ANY GRANT RECIPIENTS.

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding Fundraising or Gaming Activities

Complete if the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than $15,000 on Form 990-EZ, line 6a.

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule G (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.

OMB No. 1545-0047

2016

Open to Public Inspection

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number: 11-6107128

Part I **Fundraising Activities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- a [X] Mail solicitations
- b [X] Internet and email solicitations
- c [X] Phone solicitations
- d [X] In-person solicitations
- e [X] Solicitation of non-government grants
- f [X] Solicitation of government grants
- g [ ] Special fundraising events

2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees, or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? [X] Yes [ ] No

b If "Yes," list the 10 highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least $5,000 by the organization.

	(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions? Yes	No	(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
1	ATTACHMENT 1						
2							
3							
4							
5							
6							
7							
8							
9							
10							
Total ▶					1,079,839.	1,469,985.	-390,146.

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

AL,AK,AZ,CA,CO,CT,FL,GA,HI,IL,
KS,KY,LA,ME,MD,MA,MI,MN,MS,NV,NH,NJ,NM,NY,NC,ND,OH,
OK,OR,PA,RI,SC,TN,UT,VA,WA,WV,WI,

Part II **Fundraising Events.** Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than $15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than $5,000.

		(a) Event #1 (event type)	(b) Event #2 (event type)	(c) Other events (total number)	(d) Total events (add col. (a) through col. (c))
Revenue	1 Gross receipts				
	2 Less: Contributions				
	3 Gross income (line 1 minus line 2)				
Direct Expenses	4 Cash prizes				
	5 Noncash prizes				
	6 Rent/facility costs				
	7 Food and beverages				
	8 Entertainment				
	9 Other direct expenses				
	10 Direct expense summary. Add lines 4 through 9 in column (d) ▶				
	11 Net income summary. Subtract line 10 from line 3, column (d) ▶				

Part III **Gaming.** Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than $15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
Revenue	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	☐ Yes ____ % ☐ No	☐ Yes ____ % ☐ No	☐ Yes ____ % ☐ No	
	7 Direct expense summary. Add lines 2 through 5 in column (d) ▶				
	8 Net gaming income summary. Subtract line 7 from line 1, column (d) ▶				

9 Enter the state(s) in which the organization conducts gaming activities: ____________

a Is the organization licensed to conduct gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain: ____________

10 a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain: ____________

11 Does the organization conduct gaming activities with nonmembers? ☐ Yes ☐ No

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? ☐ Yes ☐ No

13 Indicate the percentage of gaming activity conducted in:

a The organization's facility 13a %

b An outside facility 13b %

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶

Address ▶

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ Yes ☐ No

b If "Yes," enter the amount of gaming revenue received by the organization ▶ $ ______ and the amount of gaming revenue retained by the third party ▶ $ ______ .

c If "Yes," enter name and address of the third party:

Name ▶

Address ▶

16 Gaming manager information:

Name ▶

Gaming manager compensation ▶ $

Description of services provided ▶

☐ Director/officer ☐ Employee ☐ Independent contractor

17 Mandatory distributions:

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ Yes ☐ No

b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ $

Part IV **Supplemental Information.** Provide the explanation required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information (see instructions).

990, SCHEDULE G, PART I - HIGHEST PAID FUNDRAISER

NAME AND ADDRESS OF FUNDRAISER	ACTIVITY	DID FUNDRAISER HAVE CUSTODY OR CONTROL OF CONTRIBUTIONS? YES	NO	GROSS RECEIPTS FROM ACTIVITY	AMOUNT PAID TO (OR RETAINED BY FUNDRAISER	AMOUNT PAID TO (OR RETAINED BY ORGANIZATION
DONOR SERVICES GROUP 6715 SUNSET BLVD LOS ANGELES CA 90028	DIRECT FUNDRAISING		X	368,741.	221,868.	146,873.
PUBLIC INTEREST COMMUNICATIONS 7700 LEESBURG PIKE, SUITE 301 FALLS CHURCH VA 22043	DIRECT FUNDRAISING		X	117,216.	73,220.	43,997.
TELEFUND P.O. BOX 120557 BOSTON MA 02112	DIRECT FUNDRAISING		X	223,950.	376,581.	-152,631.
GORDON & SCHWENKMEYER, IN 360 N SEPULVEDA BLVD, SUITE 1055 EL SEGUNDO CA 90245	DIRECT FUNDRAISING		X	263,882.	611,067.	-347,185.
INFOCISION 325 SPRINGSIDE DRIVE AKRON OH 44333	DIRECT FUNDRAISING		X	106,050.	187,249.	-81,200.

SCHEDULE I
(Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.
▶ Information about Schedule I (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No. 1545-0047
2016
Open to Public Inspection

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED
Employer identification number: 11-6107128

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . . [X] Yes [ ] No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.

Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) ENVIRONMENTAL DEFENSE ACTION FUND 257 PARK AVENUE, NEW YORK, NY 10010	90-0080500	501(C)(4)	4,570,238.				OPERATIONS SUPPORT
(2) BUREAU OF RECLAMATION P.O. BOX 301503, LOS ANGELES, CA 90030	84-0406948	OTHER	1,000,000.				ECOSYSTEMS WATER
(3) CLIMATE ADVISERS, INC. 1320 19TH ST. NW, WASHINGTON, DC 20036	26-0600378	OTHER	528,250.				CLIMATE & ENERGY
(4) PRIORITIES USA 1150 18TH ST. NW, WASHINGTON, DC 20036	47-4596232	501(C)(4)	500,000.				OPERATIONS SUPPORT
(5) WILLIAM MARSH RICE UNIVERSITY 6100 MAIN ST., HOUSTON, TX 77005-1892	74-1109620	501(C)(3)	418,669.				CLIMATE & ENERGY
(6) MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVE., CAMBRIDGE, MA 02139	04-2103594	501(C)(3)	394,957.				ECONOMIC POLICY
(7) ENVIRONMENT TEXAS RESEARCH & POLICY CENTER 815 BRAZOS ST., STE 600, AUSTIN, TX 78701	56-2591697	501(C)(3)	307,500.				CLIMATE & ENERGY
(8) REGENTS OF THE UNIV. OF CA AT BERKELEY 2195 HEARST AV., BERKELEY, CA 94720-1101	94-6002123	501(C)(3)	282,650.				U.S. CLIMATE POLITICAL AFFAIRS
(9) CORNELL UNIVERSITY 377 PINE TREE ROAD, ITHACA, NY 14850	15-0532082	501(C)(3)	271,600.				ECOSYSTEMS FARMING
(10) PENNFUTURE 610 N. THIRD ST., HARRISBURG, PA 17101	31-1607866	501(C)(3)	212,000.				METHANE EMISSIONS
(11) THE UNIVERSITY OF TEXAS AT AUSTIN P.O. BOX 7458, AUSTIN, TX 78713-7458	74-6000203	501(C)(3)	206,497.				OFFICE OF CHIEF SCIENTIST
(12) CARBON DISCLOSURE PROJECT NORTH AMERICA 132 CROSBY ST., 8TH FL, NEW YORK, NY 10012	36-4709977	501(C)(3)	205,000.				CORPORATE PARTNERSHIP PROGRAMS

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . . ▶

3 Enter total number of other organizations listed in the line 1 table . . . . . . ▶

SCHEDULE I
(Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.
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OMB No. 1545-0047
2016
Open to Public Inspection

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED
Employer identification number: 11-6107128

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . [X] Yes [ ] No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.

Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) CLEAN AIR COUNCIL 135 S.19TH ST., PHILADELPHIA, PA 19103	23-1683461	501(C)(3)	204,000.				METHANE POLLUTION
(2) GREEN BUSINESS CERTIFICATION INC. 2101 L STREET NW, WASHINGTON, DC 20037	26-0893414	501(C)(3)	200,000.				CLIMATE & ENERGY
(3) AIR ALLIANCE HOUSTON 3914 LEELAND ST., HOUSTON, TX 77003	76-0461030	501(C)(3)	190,800.				CLIMATE & ENERGY
(4) CITIZENS UTILITY BOARD 309 W. WASHINGTON ST., CHICAGO, IL 60606	36-3306846	501(C)(4)	180,000.				ILLINOIS ADVOCATE
(5) ENVIRONMENTAL INTEGRITY PROJECT 1000 VERMONT AVE., WASHINGTON, DC 20005	20-1326922	501(C)(3)	180,000.				CLIMATE & ENERGY
(6) PUBLIC CITIZEN FOUNDATION, INC. 1600 20TH ST. NW, WASHINGTON, DC 20009	52-1263996	501(C)(3)	172,500.				CLIMATE & ENERGY
(7) SEAFOOD HARVESTERS OF AMERICA EDUCATION FUN 3033 WILSON BLVD, ARLINGTON, VA 22201	46-5539528	501(C)(3)	165,000.				OCEANS
(8) LOUISIANA STATE UNIVERSITY 201 T. BOYD HALL, BATON ROUGE, LA 70803	72-6000848	501(C)(3)	160,000.				OCEANS
(9) COLORADO STATE UNIVERSITY 555 S HOWES, FORT COLLINS, CO 80523-6003	84-6000545	OTHER	149,802.				OFFICE OF CHIEF SCIENTIST
(10) UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL 103 S BLDG, CHAPEL HILL, NC 27599	56-6001393	501(C)(3)	147,784.				ECOSYSTEMS
(11) PARTNERSHIP PROJECT INC. 1615 M STREET NW, WASHINGTON, DC 20036	52-2192070	501(C)(3)	145,000.				U.S. CLIMATE POLITICAL AFFAIRS
(12) PRESIDENT AND FELLOWS OF HARVARD COLLEGE 1033 MASS. AVE., CAMBRIDGE, MA 02138	04-2103580	501(C)(3)	140,000.				METHANE EMISSIONS

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . ▶

3 Enter total number of other organizations listed in the line 1 table . . . . . ▶

SCHEDULE I
(Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.
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OMB No. 1545-0047
2016
Open to Public Inspection

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED
Employer identification number: 11-6107128

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . [X] Yes [ ] No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) YOUNG INVINCIBLES 555 12TH STREET NW, WASHINGTON, DC 20004	46-2214021	501(C)(3)	139,000.				SUSTAINABILITY
(2) FOREST TRENDS ASSOCIATION 1203 19TH ST. NW, WASHINGTON, DC 20036	52-2135531	501(C)(3)	137,577.				CLIMATE & ENERGY
(3) PENNSYLVANIA ENVIRONMENTAL COUNCIL, INC. 2124 PENN AVE., PITTSBURGH, PA 15222	23-7286159	501(C)(3)	131,600.				CLIMATE & ENERGY
(4) EVANGELICAL ENVIRONMENTAL NETWORK 9365 COUNSELORS ROW, INDIANAPOLIS, IN 46240	23-2827214	501(C)(3)	131,400.				U.S. CLIMATE POLITICAL AFFAIRS
(5) ALLIANCE FOR SUSTAINABLE ENERGY, LLC 15013 DENVER W PKWAY, LAKEWOOD, CO 80401	26-1939342	501(C)(3)	125,000.				CLIMATE & ENERGY/GAS
(6) PACE UNIVERSITY 1 PACE PLAZA, NEW YORK, NY 10038	13-5562314	501(C)(3)	120,000.				CLIMATE & ENERGY
(7) GROUND WATER RESEARCH & EDUCATION FDN 13308 N MACARTHUR BLVD,	73-1271210	501(C)(3)	120,000.				CLIMATE & ENERGY
(8) FIELD TO MARKET 777 N CAPITOL ST. NE, WASHINGTON, DC 20002	90-0885216	501(C)(3)	111,719.				ECOSYSTEMS
(9) ROCKY MOUNTAIN INSTITUTE 1820 FOLSOM ST., BOULDER, CO 80302	74-2244146	501(C)(3)	105,000.				CLIMATE & ENERGY
(10) USDA-ARS 800 BUCHANAN ST., ALBANY, CA 94710	72-0564834	OTHER	100,000.				ECOSYSTEMS
(11) OHIO ENVIRONMENTAL COUNCIL 1207 GRANDVIEW AVE, COLUMBUS, OH 43212	31-0805578	501(C)(3)	90,000.				CLIMATE & ENERGY
(12) CEERT 1100 11TH ST, STE 311, SACRAMENTO, CA 95814	68-0260751	501(C)(3)	80,500.				CA CLEAN ENERGY

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . ▶

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SCHEDULE I
(Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ **Attach to Form 990.**
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OMB No. 1545-0047
2016
Open to Public Inspection

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED
Employer identification number: 11-6107128

Part I — General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . [X] Yes [ ] No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II — Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) AMERICAN SECURITY PROJECT 1100 NEW YORK AVE NW, WASHINGTON, DC 20005	20-4079553	501(C)(3)	80,000.				U.S. CLIMATE POLITICAL AFFAIRS
(2) CHRISTIAN COALITION 8730 NORTHPARK BLVD, CHARLESTON, SC 29406	52-1585899	501(C)(3)	75,000.				U.S. CLIMATE POLITICAL AFFAIRS
(3) BIODIVERSITY WORKS 2611 NOTTINGHAM LANE, AUSTIN, TX 78704	27-4032271	501(C)(3)	72,000.				ECOSYSTEMS-HABITATS
(4) JOHN G. SHEDD AQUARIUM 1200 S. LAKE SHORE DRIVE, CHICAGO, IL 60605	36-2167918	501(C)(3)	70,000.				CLIMATE & ENERGY CLEAN ENERGY
(5) RESOURCES LEGACY FUND 555 CAPITOL MALL, STE 1095,	95-4703838	501(C)(3)	70,000.				CLIMATE & ENERGY
(6) ACCELERATE GROUP, LLC 1333 WEST DEVON AVE., CHICAGO, IL 60626	45-4755424	OTHER	70,000.				CLIMATE & ENERGY CLEAN ENERGY
(7) NATURE CONSERVANCY, INC. 4245 FAIRFAX DR., ARLINGTON, VA 22203-1606	53-0242652	501(C)(3)	65,000.				OCEANS
(8) WYOMING OUTDOOR COUNCIL 262 LINCOLN STREET, LANDER, WY 82520	83-0259411	501(C)(3)	65,000.				CLIMATE & ENERGY
(9) OREGON ENVIRONMENTAL COUNCIL, INC. 222 NW DAVIS STREET, PORTLAND, OR 97209	93-0578714	501(C)(3)	63,000.				CLIMATE & ENERGY
(10) MI FAMILIA VOTA EDUCATION FUND 1710 E. INDIAN SCHOOL ROAD,	20-0182824	501(C)(3)	60,000.				U.S. CLIMATE POLITICAL AFFAIRS
(11) UNIVERSITY OF WISCONSIN SYSTEM 780 REGENT STREET, MADISON, WI 53706	39-1805963	501(C)(3)	60,000.				ECOSYSTEMS-AGRICULTU
(12) ARKANSAS TECH UNIVERSITY 1505 N BOULDER AVE., RUSSELLVILLE, AR 72801	17-2442001	OTHER	60,000.				ECOSYSTEMS

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . ▶

3 Enter total number of other organizations listed in the line 1 table . . . . . ▶

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SCHEDULE I
(Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

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Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED
Employer identification number: 11-6107128

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . [X] Yes [ ] No

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Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.

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(1) NATURAL RESOURCES COUNCIL, INC. 3 WADE STREET, AUGUSTA, ME 04330	01-0270690	501(C)(3)	59,000.				U.S. CLIMATE POLITICAL AFFAIRS
(2) AMERICAN SUSTAINABLE BUSINESS INSTITUTE 1001 G ST. NW, 4TH FL E,	45-2384297	501(C)(3)	59,000.				U.S. CLIMATE POLITICAL AFFAIRS
(3) GULF OF MEXICO REEF FISH SHAREHOLDER'S ALLI 4415 AVE. S, GALVESTON, TX 77552	26-2524327	501(C)(6)	52,500.				OCEANS
(4) NATIONAL WILDLIFE FEDERATION 11100 WILDLIFE CENTER DR., RESTON, VA 20190	53-0204616	501(C)(3)	51,466.				CLIMATE & ENERGY
(5) INTERFAITH CENTER ON CORP. RESPONSIBILITY 475 RIVERSIDE DRIVE, NEW YORK, NY 10115	13-3235906	501(C)(3)	50,000.				CORPORATE PARTNERSHIPS PROGRAM
(6) ZEA MAYS FOUNDATION 14129 CAROLE DRIVE, BLOOMINGTON, IL 61705	27-3463672	501(C)(3)	50,000.				ECOSYSTEMS AGRICULTURE
(7) UNIVERSITY OF MINNESOTA FOUNDATION 200 OAK ST. SE, STE 500	41-6042488	501(C)(3)	50,000.				ECOSYSTEMS
(8) MOTE MARINE LABORATORY, INC. 1600 KEN THOMPSON PKWY, SARASOTA, FL 34236	59-0756643	501(C)(3)	50,000.				OCEANS
(9) UNIVERSITY OF NEBRASKA BOARD OF REGENTS 3835 HOLDREGE STREET, LINCOLN, NE 68503	47-0049123	501(C)(3)	47,150.				ECOSYSTEMS
(10) NORTH CAROLINA COUNCIL OF CHURCHES 27 HORNE STREET, RALEIGH, NC 27607	56-0619364	501(C)(3)	44,000.				CLIMATE & ENERGY
(11) SACHAMAMA INC. 6000 COLLINS AVE., STE 520,	46-3341619	501(C)(3)	43,000.				U.S. CLIMATE POLITICAL AFFAIRS
(12) REGENTS OF THE UNIVERISTY OF MICHIGAN 3003 S. STATE ST., ANN ARBOR, MI 48109-1274	38-6006309	501(C)(3)	40,500.				OFFICE OF CHIEF SCIENTIST

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . ▶

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SCHEDULE I
(Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

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(1) W OAKLAND ENVIRONMENTAL INDICATORS PROJECT 349 MANDELA PKWY, OAKLAND, CA 94607	20-2384563	501(C)(3)	40,000.				OFFICE OF CHIEF SCIENTIST
(2) RESOURCES FOR THE FUTURE, INC. 1616 P STREET NW, WASHINGTON, DC 20036	53-0220900	501(C)(3)	40,000.				CLIMATE & ENERGY
(3) INTERSTATE OIL AND GAS COMPACT COMMISSION P.O. BOX 53127, OKLAHOMA CITY, OK 73152	73-6022750	OTHER	40,000.				CLIMATE & ENERGY
(4) ELEVATE ENERGY 322 S GREEN ST., STE 300, CHICAGO, IL 60607	36-4443093	501(C)(3)	39,500.				ENVIRONMENTAL HEALTH
(5) THE JAMES BEARD FOUNDATION, INC. 167 WEST 12TH ST., NEW YORK, NY 10011	13-2752108	501(C)(3)	39,425.				OCEANS
(6) CARNEGIE MELLON UNIVERSITY 5000 FORBES AVE., PITTSBURGH, PA 15213-3890	25-0969449	501(C)(3)	37,880.				CLIMATE
(7) BOARD OF TRUSTEES OF THE UNIV. OF IL 506 S. WRIGHT ST., URBANA, IL 61801	37-6000511	501(C)(3)	35,000.				OFFICE OF CHIEF SCIENTIST
(8) NYC ENVIRONMENTAL JUSTICE ALLIANCE, INC. 166A 22ND STREET, BROOKLYN, NY 11232	13-3779250	501(C)(3)	34,500.				CLIMATE & ENERGY
(9) KIDS AND CARS, INC. 2208 S HALLEY COURT, OLATHE, KS 66062	20-0024715	501(C)(3)	34,000.				ENVIRONMENTAL HEALTH
(10) CLEAN WATER FUND 1444 EYE ST. NW, STE 400,	52-1043444	501(C)(3)	32,500.				CLIMATE & ENERGY
(11) GULF OF MAINE RESEARCH INSTITUTE 350 COMMERCIAL ST., PORTLAND, ME 04101	01-0504905	501(C)(3)	30,000.				OCEANS-NORTHEAST
(12) AMERICAN LUNG ASSOCIATION 55 W WACKER DR, STE 1150, CHICAGO, IL 60601	13-1632524	501(C)(3)	30,000.				CLIMATE & ENERGY NATURAL GAS

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SCHEDULE I
(Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

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(1) WEST HARLEM ENVIRONMENTAL ACTION 1854 AMERSTANDAM AVE., NEW YORK, NY 10031	13-3800068	501(C)(3)	30,000.				U.S. CLIMATE POLITICAL AFFAIRS
(2) ENVIRONMENT AMERICA RESEARCH& POLICY CENTER 294 WASHINGTON ST., STE 500,	13-4339865	501(C)(3)	30,000.				U.S. CLIMATE POLITICAL AFFAIRS
(3) CONSERVATION VOTERS OF S CAROLINA EDUCATION 701 WHALEY ST., COLUMBIA, SC 29201	20-0335383	501(C)(3)	30,000.				U.S. CLIMATE POLITICAL AFFAIRS
(4) EASTERN ENVIRONMENTAL LAW CENTER 744 BROAD ST., STE 1525, NEWARK, NJ 07102	22-3353036	501(C)(3)	30,000.				CLIMATE & ENERGY CLEAN ENERGY
(5) BREATHE UTAH, INC. P.O. BOX 522435, SALT LAKE CITY, UT 84152	27-2111061	501(C)(3)	30,000.				CLIMATE & ENERGY
(6) TIDES FOUNDATION 1014 TORNEY AVE., SAN FRANCISCO, CA 94129	51-0198509	501(C)(3)	30,000.				U.S. CLIMATE POLITICAL AFFAIRS
(7) THE COALITION OF BLACK TRADE P.O. BOX 66268, WASHINGTON, DC 20035	52-2274037	501(C)(3)	30,000.				U.S. CLIMATE POLITICAL AFFAIRS
(8) WESTERN LEADERS NETWORK P.O. BOX 4433, DURANGO, CO 81302	82-0625994	501(C)(3)	30,000.				U.S. CLIMATE POLITICAL AFFAIRS
(9) ALASKA COMMUNITY ACTION ON TOXICS 505 W. N. LIGHTS BLVD, ANCHORAGE, AK 99503	92-0177082	501(C)(3)	30,000.				U.S. CLIMATE POLITICAL AFFAIRS
(10) AMERICAN SUSTAINABLE BUSINESS COUNCIL, INC. 1001 G ST. NW, WASHINGTON, DC 20001	45-2387029	501(C)(4)	30,000.				U.S. CLIMATE POLITICAL AFFAIRS
(11) CHARTER FISHERMANS ASSOCIATION 401 W 15TH ST., STE 695, AUSTIN, TX 78418	27-5353242	501(C)(6)	30,000.				FISHERIES
(12) CLEAN AIR INSTITUTE 1100 H ST. NW, STE 800,	80-0429122	501(C)(3)	28,941.				CLIMATE & ENERGY-NAT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . ▶

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SCHEDULE I
(Form 990)

Department of the Treasury
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Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

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(1) UNIVER. OF TEXAS HEALTH SCIENCE CENTER HOUS 7000 FANNIN ST., HOUSTON, TX 77030	74-1761309	501(C)(3)	28,750.				CLIMATE & ENERGY
(2) AMERICAN INDEPENDENT BUSINESS ALLIANCE 222 S BLACK AVE., BOZEMAN, MT 59715	84-1602447	501(C)(3)	27,500.				U.S. CLIMATE POLITICAL AFFAIRS
(3) CLEAN AIR TASK FORCE, INC. 18 TREMONT ST., BOSTON, MA 02108	04-3512550	501(C)(3)	25,000.				CLIMATE & ENERGY NATURAL GAS
(4) CERES, INC. 99 CHAUNCY ST., 6TH FL, BOSTON, MA 02111	22-3053747	501(C)(3)	25,000.				CORPORATE PARTNERSHI
(5) LEARNING DISABILITIES ASSOC. OF AMERICA 4156 LIBRARY ROAD, PITTSBURGH, PA 15234	23-7088242	501(C)(3)	25,000.				U.S. CLIMATE POLITICIAL AFFAIRS
(6) HUMAN IMPACT PARTNERS 304 12TH ST., STE 2B, OAKLAND, CA 94607	27-0193587	501(C)(3)	25,000.				US CLIMATE POLITICAL
(7) PECAN STREET INC. 3925 W. BRAKER LANE, AUSTIN, TX 78759	27-0744614	501(C)(3)	25,000.				CLIMATE & ENERGY
(8) HISPANIC ACCESS FOUNDATION 1718 M ST. NW, WASHINGTON, DC 20036	27-2589206	501(C)(3)	25,000.				CLIMATE & ENERGY
(9) OEC, INC. 328 S JEFFERSON AVE., CHICAGO, IL 60661	47-1341251	501(C)(3)	25,000.				CLIMATE & ENERGY
(10) TEXAS PACE AUTHORITY 98 SAN JACINTO BLVD, AUSTIN, TX 78701	47-3563763	501(C)(3)	25,000.				CLIMATE & ENERGY NATURAL GAS
(11) COALITION FOR GREEN CAPITAL 1875 CONNECTICUT AVE., WASHINGTON, DC 20009	90-0868299	501(C)(3)	24,994.				CORP. PARTNERSHIP CLEAN ENERGY
(12) PHYSICIANS FOR SOCIAL RESPONSIBILITY 1111 14TH ST. NW, WASHINGTON, DC 20005	23-7059731	501(C)(3)	24,538.				U.S. CLIMATE POLITICAL AFFAIRS

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Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.
▶ Information about Schedule I (Form 990) and its instructions is at *www.irs.gov/form990.*

OMB No. 1545-0047
2016
Open to Public Inspection

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED
Employer identification number: 11-6107128

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . [X] Yes [ ] No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.

Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) LULAC INSTITUTE, INC. 1133 19TH ST. NW, WASHINGTON, DC 20036	52-2072106	501(C)(3)	20,055.				U.S. CLIMATE POLITICAL AFFAIRS
(2) VOTO LATINO INC. 1710 RHODE ISLAND AVE., WASHINTON, DC 20036	20-1350252	501(C)(3)	20,000.				PUBLIC EDUCATION
(3) MOLINA CENTER FOR STRATEGIC STUDIES IN ENER 3252 HOLIDAY COURT, STE 223,	20-2020378	501(C)(3)	20,000.				CLIMATE & ENERGY
(4) PURDUE UNIVERSITY 401 S. GRANT ST., WEST LAFAYETTE, IN 47907	35-6002041	501(C)(3)	20,000.				METHANE EMISSIONS
(5) ROANOKE ECONOMIC DEVELOPMENT, INC. P.O. BOX 1326, AHOSKIE, NC 27910	56-2182551	501(C)(3)	20,000.				CLIMATE & ENERGY
(6) BAYLOR UNIVERSITY ONE BEAR PLACE, UNIT 97042, WACO, TX 76798	74-1159753	501(C)(3)	19,445.				CLIMATE & ENERGY NATURAL GAS
(7) NORTHEASTERN UNIVERSITY 360 HUNTINGTON AVE., BOSTON, MA 02115	04-1679980	501(C)(3)	19,375.				CLIMATE & ENERGY NATURAL GAS
(8) REGENERATION PROJECT 369 PINE ST., SAN FRANCISCO, CA 94104	94-3335236	501(C)(3)	17,500.				CLIMATE & ENERGY
(9) OREGON STATE UNIVERSITY P.O. BOX 1086, CORVALLIS, OR 97339	48-1278540	501(C)(6)	16,800.				CLIMATE & ENERGY
(10) UT HEALTH SCIENCE CENTER SAN ANTONIO 7703 F. CURL DRIVE, SAN ANTONIO, TX 78229	74-1586031	501(C)(3)	15,972.				CLIMATE & ENERGY
(11) AUDUBON SOCIETY OF NYS, INC. 120 DEFREEST DRIVE, TROY, NY 12180	14-1698061	501(C)(3)	15,700.				ECOSYSTEMS-HABITATS
(12) NEW VENTURE FUND 1201 CONNECTICUT AVE., WASHINGTON, DC 20036	20-5806345	501(C)(3)	15,000.				SPONSORSHIP LATION TALKS

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . ▶

3 Enter total number of other organizations listed in the line 1 table . . . . . ▶

SCHEDULE I
(Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.
▶ Information about Schedule I (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No. 1545-0047
2016
Open to Public Inspection

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number: 11-6107128

Part I — General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . [X] Yes [ ] No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II — Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) ENVIRONMENTAL WORKING GROUP 1436 U ST., WASHINGTON, DC 20009	52-2148600	501(C)(3)	15,000.				FLY-IN CONTRIBUTION
(2) USGBC ILLIONOIS CHAPTER 222 M. MART PLAZA, CHICAGO, IL 60654	75-3098915	501(C)(3)	15,000.				CLIMATE & ENERGY
(3) CONSERVATION COLORADO 1536 WYNKOOP ST., STE 5C, DENVER, CO 80202	30-0037131	501(C)(4)	15,000.				CLIMATE & ENERGY
(4) UNIVERSITY OF FLORIDA P.O. BOX 113201, GAINESVILLE, FL 32611	59-6002052	501(C)(3)	14,995.				ECOSYSTEMS
(5) RESOLVE, INC. 1255 23RD ST. NW, WASHINGTON, DC 20037	52-1841035	501(C)(3)	12,905.				ECOSYSTEMS
(6) PEOPLE WORKING COOPERATIVELY, INC. 4612 PADDOCK RD, CINCINNATI, OH 45229	31-0859104	501(C)(3)	12,500.				ENVIRONMENTAL HEALTH
(7) YALE UNIVERSITY 2 WHITNEY AVE., 6TH FL, NEW HAVEN, CT 06410	06-0646973	501(C)(3)	10,722.				CLIMATE & ENERGY
(8) MISSISSIPPI STATE UNIVER. RESEARCH & TECH. 262 LEE BLVD, MISSISSIPPI STATE, MS 39762	64-0923875	501(C)(3)	10,354.				ENVIRONMENTAL HEALTH
(9) NATIONAL RELIGIOUS PARTNERSHIP FOR THE ENVI 110 MARYLAND AVE. NE, WASHINGTON, DC 20002	13-6996770	501(C)(3)	10,000.				U.S. CLIMATE POLITICAL AFFAIRS
(10) DAKOTA RESOURCE COUNCIL 1200 MISSOURI AVE., BISMARK, ND 58504	45-0363903	501(C)(3)	10,000.				CLIMATE & ENERGY NATURAL GAS
(11) PENNSYLVANIA INTERFAITH POWER & LIGHT 243 S. ALLEN ST., STATE COLLEGE, PA 16801	46-0988790	501(C)(3)	10,000.				U.S. CLIMATE POLITICAL AFFAIRS
(12) CIVIC NATION 1415 CHAPIN ST. NW, WASHINGTON, DC 20009	47-3576918	501(C)(3)	10,000.				DEVELOPMENT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . ▶

3 Enter total number of other organizations listed in the line 1 table . . . . . ▶

SCHEDULE I
(Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.
▶ Information about Schedule I (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No. 1545-0047
2016
Open to Public Inspection

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number: 11-6107128

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . . [X] Yes [ ] No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.

Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) MIDWATER TRAWLERS COOPERATIVE P.O. BOX 2352, NEWPORT, OR 97365	93-0881652	501(C)(5)	10,000.				OCEANS
(2) NATIONAL CONFERENCE OF STATE LEGISLATURES 7700 E FIRST PLACE, DENVER, CO 80230	84-0772595	OTHER	10,000.				ENVIRONMENTAL HEALTH
(3) NORTH CAROLINA CONSERVATION NETWORK 19 E MARTIN ST., RALEIGH, NC 27601	58-2504713	501(C)(3)	9,500.				CLIMATE & ENERGY
(4) FARMERS EDUC. & CO-OPERATIVE UNION OF AMERI 7900 E. UNION AVE., DENVER, CO 80237	84-6025998	501(C)(5)	7,679.				CLIMATE & ENERGY NATURAL GAS
(5) HEALTHY HOMES COALITION OF W MICHIGAN 1545 BUCHANAN AVE., GRAND RAPIDS, MI 49505	20-5326650	501(C)(3)	7,000.				ENVIRONMENTAL HEALTH
(6) REGENTS OF THE UNIVERSITY OF COLORADO 1800 N GRANT ST., DENVER, CO 80203	84-6000555	501(C)(3)	7,000.				CLIMATE & ENERGY
(7) THE UNIVERSITY OF ARIZONA 1303 E. UNIVERSITY, TUCSON, AZ 85719-0521	74-2652689	OTHER	6,996.				ECOSYSTEMS WATER
(8) CLEAN ENERGY PROJECT, INC. 817 S. MAIN ST., LAS VEGAS, NV 89101	26-2791337	501(C)(3)	6,000.				CLIMATE & ENERGY
(9)							
(10)							
(11)							
(12)							

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . . ▶ 109.

3 Enter total number of other organizations listed in the line 1 table . . . . . . ▶ 19.

For Paperwork Reduction Act Notice, see the Instructions for Form 990. **Schedule I (Form 990) (2016)**

Part III **Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1					
2					
3					
4					
5					
6					
7					

Part IV **Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b); and any other additional information.

PART I, LINE 2:

ENVIRONMENTAL DEFENSE FUND, INC.'S ("EDF") HAS A NUMBER OF GRANTS AND OTHER ASSISTANCE IT PROVIDES TO OTHER CHARITIES AND QUASI-GOVERNMENTAL ENTITIES IN THE UNITED STATES. THE MAJORITY OF THESE GRANTS ARE TO OTHER ENVIRONMENTAL 501(C)(3) NON-PROFIT ENTITIES THAT PERFORM WORK ALONGSIDE OF EDF IN THE ACCOMPLISHMENT OF ITS MISSION. SOME OF THESE ARE FLOW-THROUGH GRANTS FROM A FUNDING ENTITY OR FOUNDATION THAT SUPPORTS EDF'S WORK. EDF MONITORS THE PERFORMANCE OF THE GRANT RECIPIENTS BY WRITTEN REPORTS, SITE VISITS, VERBAL COMMUNICATION AND REVIEW. PARTIAL PAYMENTS ARE TYPICALLY MADE ON A GRANT UNTIL A PATTERN OF PROVEN

Part III **Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

	(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1						
2						
3						
4						
5						
6						
7						

Part IV **Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b); and any other additional information.

ACHIEVEMENTS ON OBJECTIVES IS DEMONSTRATED. IN THE END EDF TYPICALLY

PREPARES A REPORT TO FUNDING ENTITIES ON THE USE OF GRANT FUNDS BOTH BY

ITSELF AND BY ANY SUB-GRANT RECIPIENTS.

SCHEDULE J (Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

▶ Attach to Form 990.

▶ Information about Schedule J (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No. 1545-0047

2016

Open to Public Inspection

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number: 11-6107128

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items. ☐ First-class or charter travel ☒ Housing allowance or residence for personal use ☐ Travel for companions ☐ Payments for business use of personal residence ☐ Tax indemnification and gross-up payments ☐ Health or social club dues or initiation fees ☐ Discretionary spending account ☐ Personal services (such as, maid, chauffeur, chef)			
b	If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	1b	X	
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a?	2	X	
3	Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III. ☒ Compensation committee ☐ Written employment contract ☒ Independent compensation consultant ☒ Compensation survey or study ☒ Form 990 of other organizations ☒ Approval by the board or compensation committee			
4	During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:			
a	Receive a severance payment or change-of-control payment?	4a	X	
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b		X
c	Participate in, or receive payment from, an equity-based compensation arrangement?	4c		X
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.			
	Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.			
5	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:			
a	The organization?	5a		X
b	Any related organization?	5b		X
	If "Yes" on line 5a or 5b, describe in Part III.			
6	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:			
a	The organization?	6a		X
b	Any related organization?	6b		X
	If "Yes" on line 6a or 6b, describe in Part III.			
7	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If "Yes," describe in Part III.	7		X
8	Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III	8		X
9	If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?	9		

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

Note: The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1 FREDERIC D. KRUPP	(i)	545,591.	105,000.	0.	39,200.	22,665.	712,456.	0.
PRESIDENT	(ii)	0.	0.	0.	0.	0.	0.	0.
2 CYNTHIA HALLENBECK	(i)	107,716.	0.	124,787.	11,361.	3,665.	247,529.	0.
CFO (THRU 6/16)	(ii)	0.	0.	0.	0.	0.	0.	0.
3 ERIC POOLEY	(i)	345,389.	100,000.	0.	21,613.	22,891.	489,893.	0.
SR VP STRATEGY & COMMUNICATION	(ii)	0.	0.	0.	0.	0.	0.	0.
4 DIANE REGAS	(i)	355,931.	15,000.	0.	30,314.	21,131.	422,376.	0.
EXECUTIVE DIRECTOR	(ii)	0.	0.	0.	0.	0.	0.	0.
5 DR. DANIEL DUDEK	(i)	288,356.	50,000.	0.	21,245.	22,891.	382,492.	0.
VICE PRESIDENT OF ASIA	(ii)	0.	0.	0.	0.	0.	0.	0.
6 DAVID H. FESTA	(i)	249,212.	0.	70,000.	20,791.	14,072.	354,075.	0.
VP OF ECO SYSTEMS	(ii)	0.	0.	0.	0.	0.	0.	0.
7 PAULA HAYES	(i)	289,546.	10,000.	0.	25,320.	22,891.	347,757.	0.
SENIOR VP, GLOBAL STRATEGY	(ii)	0.	0.	0.	0.	0.	0.	0.
8	(i)							
	(ii)							
9	(i)							
	(ii)							
10	(i)							
	(ii)							
11	(i)							
	(ii)							
12	(i)							
	(ii)							
13	(i)							
	(ii)							
14	(i)							
	(ii)							
15	(i)							
	(ii)							
16	(i)							
	(ii)							

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

PART I, LINE 1A:

DAVID H. FESTA, VICE PRESIDENT OF ECO SYSTEMS, RECEIVES A REPORTABLE HOUSING ALLOWANCE OF $70,000 WHICH IS REFLECTED ON PART II, COLUMN B(III).

PART I, LINE 4A:

CYNTHIA HALLENBECK, CFO THRU JUNE 30, 2016, RECEIVED A SEVERANCE PAYMENT OF $124,787. WHICH IS REPORTED ON PART II, COLUMN B(III).

SCHEDULE M (Form 990)

Department of the Treasury Internal Revenue Service

Noncash Contributions

▶ Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
▶ Attach to Form 990.
▶ Information about Schedule M (Form 990) and its instructions is at *www.irs.gov/form990.*

OMB No. 1545-0047

2016

Open To Public Inspection

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number: 11-6107128

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art - Works of art				
2 Art - Historical treasures				
3 Art - Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities - Publicly traded	X	237.	14,187,475.	MARKET QUOTATION
10 Securities - Closely held stock				
11 Securities - Partnership, LLC, or trust interests				
12 Securities - Miscellaneous				
13 Qualified conservation contribution - Historic structures				
14 Qualified conservation contribution - Other				
15 Real estate - Residential				
16 Real estate - Commercial				
17 Real estate - Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶( )				
26 Other ▶( )				
27 Other ▶( )				
28 Other ▶( )				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement 29

		Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period?	30a		X
b If "Yes," describe the arrangement in Part II.			
31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?	31	X	
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?	32a		X
b If "Yes," describe in Part II.			
33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.			

Part II **Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

PART I, LINE 9, COLUMN B:

NUMERICAL INFORMATION REPORTED HERE REPRESENTS THE NUMBER OF

CONTRIBUTIONS.

SCHEDULE O (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.

OMB No. 1545-0047

2016

Open to Public Inspection

Name of the organization	Employer identification number
ENVIRONMENTAL DEFENSE FUND, INCORPORATED	11-6107128

FORM 990, PART I, HEADER SECTION B

SIGNIFICANT REPORTING DIFFERENCES INCLUDE:

PART I - SUMMARY: LINES 16A, 16B

PART III - STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS: LINES 4D, 4E

PART IX - STATEMENT OF FUNCTIONAL EXPENSES: LINES 11E, 11G

SCHEDULE G, PART I - FUNDRAISING ACTIVITIES: ATTACHMENT 1

SCHEDULE O - SUPPLEMENTAL INFORMATION TO FORM 990: ATTACHMENT 4

FORM 990, PART VI, SECTION A, LINE 4:

THE BYLAWS WERE AMENDED TO PERMIT THE PRESIDENT TO APPOINT THE TREASURER OF THE ORGANIZATION. THE AMENDMENT ALSO PROVIDES THAT THE TREASURER WILL HAVE SUCH DUTIES AS THE BOARD OF DIRECTORS MAY PRESCRIBE OR, SUBJECT TO THE POWERS AND SUPERVISION OF THE BOARD, AS THE PRESIDENT MAY FROM TIME TO TIME PROVIDE.

FORM 990, PART VI, SECTION B, LINE 11B:

THE FORM 990 IS PREPARED BY A NATIONALLY RENOWNED ACCOUNTING FIRM IN CONJUNCTION WITH THE ORGANIZATION'S FINANCIAL DEPARTMENT AND MEMBERS OF SENIOR MANAGEMENT. THE AUDIT COMMITTEE THEN REVIEWS ANY SIGNIFICANT ISSUES OR JUDGEMENTS RELATING TO DISCLOSURES IN THE REPORTING ORGANIZATION'S FORM 990. AFTERWARDS, A COPY OF THE DRAFT FORM 990 IS CIRCULATED TO THE FULL BOARD OF DIRECTORS FOR DISCUSSION AND COMMENT PRIOR TO ITS FILING WITH THE IRS.

Name of the organization	Employer identification number
ENVIRONMENTAL DEFENSE FUND, INCORPORATED	11-6107128

FORM 990, PART VI, SECTION B, LINE 12C:

IT IS THE RESPONSIBILITY OF ALL DIRECTORS AND EMPLOYEES OF THE ORGANIZATION TO FAMILIARIZE THEMSELVES WITH THE CONFLICTS OF INTEREST POLICY AND TO ENSURE COMPLIANCE OF RELATED PARTIES WITH IT. IN ADDITION TO THE DISCLOSURES REQUIRED BY THIS POLICY, EACH DIRECTOR AND KEY EMPLOYEE WAS PROVIDED WITH A STATEMENT TO COMPLETE AND RETURN INDICATING THAT THEY HAVE READ, UNDERSTAND AND ARE IN COMPLIANCE WITH THIS POLICY. DIRECTORS WHO KNOWINGLY OR UNKNOWINGLY VIOLATE THE POLICY ARE SUBJECT TO CENSURE OR REMOVAL AT THE DISCRETION OF THE BOARD OF DIRECTORS. EMPLOYEES WHO KNOWINGLY OR UNKNOWINGLY VIOLATE THE POLICY WILL BE SUBJECT TO DISCIPLINARY ACTION, INCLUDING POSSIBLE DISMISSAL. ALL NEW BOARD MEMBERS ARE REQUIRED MAKE CONFLICT OF INTEREST DISCLOSURES.

FORM 990, PART VI, SECTION B, LINE 15:

DETERMINATION OF COMPENSATION OF THE PRESIDENT OF EDF USES A HUMAN RELATIONS COMMITTEE TO EVALUATE THE COMPENSATION OF THE PRESIDENT OF THE ORGANIZATION WHO IS THE HIGHEST RANKING EMPLOYEE. THE HUMAN RELATIONS COMMITTEE OF THE BOARD OF TRUSTEES IS COMPOSED OF THREE INDEPENDENT TRUSTEES AND THE CHAIRMAN OF THE BOARD WHO MEET ANNUALLY TO ASSESS THE PRESIDENT'S PERFORMANCE AND COMPENSATION. THE HUMAN RELATIONS COMMITTEE USES THE SERVICES OF AN INDEPENDENT COMPENSATION CONSULTANT TO PROVIDE DEMOGRAPHIC AND COMPARATIVE SALARY INFORMATION FOR PEER-GROUP ORGANIZATIONS, WITH FOCUS ON THE PRESIDENT/CEO. THE COMPENSATION CONSULTANT PROVIDES INFORMATION FROM SURVEYS, PUBLIC DISCLOSURES OF OTHER CHARITIES AND PROPRIETARY SOURCES. THE COMMITTEE REVIEWS THE INFORMATION,

Name of the organization	Employer identification number
ENVIRONMENTAL DEFENSE FUND, INCORPORATED	11-6107128

DISCUSSES THE FINDINGS AMONGST THEMSELVES AND NOT IN THE PRESENCE OF THE PRESIDENT OF THE ORGANIZATION. THE COMMITTEE HAS A PORTION OF ITS MEETING WHERE IT DOES DISCUSS COMPENSATION AND PERFORMANCE WITH THE PRESIDENT BUT THE DECISION-MAKING SEGMENTS OF THE MEETING ARE HELD IN EXECUTIVE SESSION. MINUTES OF THE MEETING ARE KEPT AND RETAINED BY THE CHAIR OF THE HUMAN RELATIONS COMMITTEE. THE COMPENSATION COMMITTEE IS AWARE OF THE COMPENSATION AMOUNTS FOR OTHER KEY EMPLOYEES AND SENIOR MANAGEMENT TEAM MEMBERS BUT THE DECISIONS GOVERNING THEIR COMPENSATION ARE THE PURVIEW OF THE PRESIDENT OF THE ORGANIZATION.

FORM 990, PART VI, SECTION C, LINE 19:
THE ORGANIZATION MAKES ITS GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY AND FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC UPON REQUEST.

FORM 990, PART X, LINES 27, 28 AND 29:
THE NET ASSETS AT SEPTEMBER 30, 2016 HAVE BEEN RESTATED TO CORRECT A DONOR CLASSIFICATION OF NET ASSETS FROM UNRESTRICTED TO PERMANENTLY RESTRICTED NET ASSETS.

ATTACHMENT 1

FORM 990, PART III - PROGRAM SERVICE, LINE 4A

CLIMATE AND ENERGY - TO AVERT DISASTROUS CLIMATE CHANGE, EDF FOCUSES ON THE LARGEST AND BEST OPPORTUNITIES TO REDUCE EMISSIONS OF CLIMATE POLLUTANTS SUCH AS CARBON DIOXIDE AND METHANE. IN 2017, WITH CLIMATE PROGRESS BLOCKED IN WASHINGTON, DC, EDF FOUND OTHER

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number: 11-6107128

ATTACHMENT 1 (CONT'D)

WAYS TO ADVANCE THESE GOALS. FOR EXAMPLE, EDF HELPED CALIFORNIA, CHINA AND WALMART MOVE FORWARD AS CLIMATE LEADERS. CALIFORNIA EXTENDED ITS CAP-AND-TRADE PROGRAM, A CENTRAL COMPONENT OF THE STATE'S BOLD PLAN TO REDUCE GREENHOUSE GAS EMISSIONS 40% BELOW 1990 LEVELS BY 2030. EDF HAS BEEN WORKING WITH CALIFORNIA LEADERS ON THE PLAN FOR MORE THAN A DECADE. CHINA ANNOUNCED IT WILL BEGIN PHASING IN A NATIONAL EMISSIONS TRADING SYSTEM FOR CARBON DIOXIDE, BASED ON THE SUCCESS OF SEVEN PILOT PROGRAMS ON WHICH EDF HAS ADVISED. AND EDF'S 11-YEAR COLLABORATION WITH WALMART RESULTED IN THE LAUNCH OF PROJECT GIGATON, AN UNPRECEDENTED PLEDGE FROM THE WORLD'S LARGEST RETAILER TO SHRINK ITS SUPPLY-CHAIN GREENHOUSE GAS EMISSIONS BY ONE BILLION TONS BY 2030, MORE THAN GERMANY EMITS IN A YEAR.

ATTACHMENT 2

FORM 990, PART III - PROGRAM SERVICE, LINE 4B

ECOSYSTEMS - EDF DEVELOPS WAYS TO MEET PEOPLE'S NEEDS FOR FOOD, WATER AND OTHER NATURAL RESOURCES WHILE IMPROVING ECOSYSTEMS, RATHER THAN HARMING THEM. EDF WORKED WITH AGRIBUSINESS AND FOOD INTERESTS INCLUDING LAND O'LAKES, CAMPBELL SOUP COMPANY AND THE NATIONAL CORN GROWERS ASSOCIATION ON FERTILIZER EFFICIENCY PLANS THAT WILL HELP REDUCE SERIOUS FERTILIZER POLLUTION OF AIR AND WATER. TOGETHER, A RANGE OF COMPANIES HAVE COMMITTED TO ADOPT BEST PRACTICES ON MORE THAN 20 MILLION ACRES BY 2022. EDF ALSO WORKED WITH FARMERS, RANCHERS AND OTHERS TO IMPROVE HABITAT FOR

Name of the organization	Employer identification number
ENVIRONMENTAL DEFENSE FUND, INCORPORATED	11-6107128

ATTACHMENT 2 (CONT'D)

ENDANGERED WILDLIFE. IN 2017, EDF LAUNCHED THE MONARCH BUTTERFLY HABITAT EXCHANGE, IN WHICH FARMERS AND RANCHERS CAN EARN CREDITS FOR GROWING MILKWEED, WHICH IS ESSENTIAL TO THE MONARCH'S SURVIVAL. IN LOUISIANA, EDF HELPED WIN APPROVAL FOR THE STATE'S MASTER PLAN TO RESTORE COASTAL WETLANDS. AND IN ARIZONA, EDF SPEARHEADED AN AGREEMENT WITH THE CITY OF PHOENIX AND OTHERS THAT MAKES MORE COLORADO RIVER WATER AVAILABLE FOR THE ENVIRONMENT.

ATTACHMENT 3

FORM 990, PART III - PROGRAM SERVICE, LINE 4C

OCEANS - TO IMPROVE THE HEALTH OF THE OCEANS AND INCREASE FUTURE SUPPLIES OF SEAFOOD, EDF AIMS TO SHARPLY REDUCE OVERFISHING AND ACHIEVE A DRAMATIC RECOVERY IN FISH POPULATIONS. EDF ADVOCATES SECURE FISHING RIGHTS TO GIVE FISHERMEN A FINANCIAL STAKE IN CONSERVING FISH FOR THE FUTURE. TO ACCELERATE THE ADOPTION OF SUSTAINABLE FISHING MANAGEMENT, EDF AND ITS PARTNERS HAVE DEVELOPED SOFTWARE, TRAINING MANUALS AND CASE STUDIES AND HAVE TRAINED MORE THAN 700 FISHERY LEADERS FROM CHILE TO SPAIN TO THE PHILIPPINES. IN 2017, EDF AND LOCAL PARTNERS LAUNCHED AN INITIATIVE IN INDONESIA FOR BLUE SWIMMING CRAB, ONE OF THE COUNTRY'S MOST LUCRATIVE EXPORT FISHERIES. IN EUROPE, EDF ADVISED KEY OFFICIALS AS THEY REWROTE THE EU'S COMMON FISHERIES POLICY, COMMITTING MEMBER NATIONS TO END WIDESPREAD OVERFISHING. IN SWEDEN, EDF HELPED GOVERNMENT AND INDUSTRY FORMULATE REFORMS, INCLUDING SECURE FISHING RIGHTS, FOR SOME OF THEIR MOST IMPORTANT

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number: 11-6107128

ATTACHMENT 3 (CONT'D)

FISHERIES.

ATTACHMENT 4

FORM 990, PART III, LINE 4D - OTHER PROGRAM SERVICES

DESCRIPTION	GRANTS	EXPENSES	REVENUE
HEALTH	1,366,247.	9,091,040.	0.
EDUCATION	430,292.	8,747,454.	0.
OTHER	6,798.	2,142,581.	0.
TOTALS	1,803,337.	19,981,075.	0.

ATTACHMENT 5

FORM 990, PART V, LINE 4B - FOREIGN COUNTRIES

MEXICO

UNITED KINGDOM

CHINA

ATTACHMENT 6

FORM 990, PART VI, LINE 17 - STATES

AL,AK,AR,CA,CO,CT,

FL,GA,HI,IL,KS,KY,LA,ME,MD,MA,MI,

MN,MS,NV,NH,NJ,NM,NY,NC,ND,OH,OK,OR,PA,

RI,SC,TN,UT,VA,WA,WV,WI,

ATTACHMENT 7

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED

Employer identification number: 11-6107128

ATTACHMENT 7 (CONT'D)

990, PART VII- COMPENSATION OF THE FIVE HIGHEST PAID IND. CONTRACTORS

NAME AND ADDRESS	DESCRIPTION OF SERVICES	COMPENSATION
RBS INTERNATIONAL DIRECT MARKETING, LLC 18 STONEYBROOK DRIVE WILTON, NH 03086	MARKETING	1,892,370.
OCEAN ANALYTICS 280 VEREDA GALERIA GOLETA, CA 93117	STRATEGIC CONSULTING	591,000.
CLIMATE ADVISERS, INC. 1320 19TH ST NW, SUITE 400 WASHINGTON, DC 20036	ENVIR. CONSULTING	521,960.
M J BRADLEY & ASSOCIATES, LLC 47 JUNCTION SQUARE DRIVE CONCORD, MA 01742	LEGAL COUNSEL	452,113.
ENVRIONMENTAL INCENTIVES LLC 3351 LAKE TAHOE BLVD., SUITE 2 SOUTH LAKE TAHOE, CA 96150	STRATEGIC CONSULTING	413,539.

ATTACHMENT 8

FORM 990, PART IX - OTHER FEES

DESCRIPTION	(A) TOTAL FEES	(B) PROGRAM SERVICE EXP.	(C) MANAGEMENT AND GENERAL	(D) FUNDRAISING EXPENSES
OTHER PROFESSIONAL FEES	29,161,144.	28,736,014.	425,130.	0.
TOTALS	29,161,144.	28,736,014.	425,130.	0.

SCHEDULE R (Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.
▶ Attach to Form 990.
▶ Information about Schedule R (Form 990) and its instructions is at *www.irs.gov/form990.*

OMB No. 1545-0047
2016
Open to Public Inspection

Name of the organization: ENVIRONMENTAL DEFENSE FUND, INCORPORATED
Employer identification number: 11-6107128

Part I **Identification of Disregarded Entities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

	(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1)						
(2)						
(3)						
(4)						
(5)						
(6)						

Part II **Identification of Related Tax-Exempt Organizations.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

	(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity? Yes	No
(1)	ENVIRONMENTAL DEFENSE ACTION FUND 90-0080500 257 PARK AVENUE NEW YORK, NY 10010	ADVOCACY	DE	501(C)(4)	N/A	EDF	X	
(2)	CALIFORNIA FISHERIES FUND, INC. 26-0873741 123 MISSION STREET, 28TH FLOOR SAN FRANCISCO, CA 94105	REFORM	CA	501(C)(3)	12A	EDF	X	
(3)	ENVIRONMENTAL DEFENSE FUND DE MEXICO, AC REVOLUCION NO. 345 LA PAZ, MX CP 23000	OCEAN PRESER.	MX	N/A	N/A	EDF	X	
(4)	ENVIRONMENTAL DEFENSE FUND EUROPE 6-10 BOROUGH HIGH STREET LONDON, UK SE1 9QQ	OCEANS/ENERGY	UK	N/A	N/A	EDF	X	
(5)								
(6)								
(7)								

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Part III **Identification of Related Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
(1)												
(2)												
(3)												
(4)												
(5)												
(6)												
(7)												

Part IV **Identification of Related Organizations Taxable as a Corporation or Trust.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No
(1)									
(2)									
(3)									
(4)									
(5)									
(6)									
(7)									

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note: Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

			Yes	No
1	During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?			
a	Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	1a		X
b	Gift, grant, or capital contribution to related organization(s)	1b	X	
c	Gift, grant, or capital contribution from related organization(s)	1c		X
d	Loans or loan guarantees to or for related organization(s)	1d	X	
e	Loans or loan guarantees by related organization(s)	1e		X
f	Dividends from related organization(s)	1f		
g	Sale of assets to related organization(s)	1g		X
h	Purchase of assets from related organization(s)	1h		X
i	Exchange of assets with related organization(s)	1i		X
j	Lease of facilities, equipment, or other assets to related organization(s)	1j		X
k	Lease of facilities, equipment, or other assets from related organization(s)	1k		X
l	Performance of services or membership or fundraising solicitations for related organization(s)	1l		X
m	Performance of services or membership or fundraising solicitations by related organization(s)	1m		X
n	Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n	X	
o	Sharing of paid employees with related organization(s)	1o	X	
p	Reimbursement paid to related organization(s) for expenses	1p		X
q	Reimbursement paid by related organization(s) for expenses	1q		X
r	Other transfer of cash or property to related organization(s)	1r		X
s	Other transfer of cash or property from related organization(s)	1s		X

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1)	ENVIRONMENTAL DEFENSE ACTION FUND	B	4,570,238.	FMV
(2)	ENVIRONMENTAL DEFENSE FUND EUROPE	B	906,211.	FMV
(3)	ENVIRONMENTAL DEFENSE ACTION FUND	D	455,959.	FMV
(4)	ENVIRONMENTAL DEFENSE ACTION FUND	N	160,678.	FMV
(5)	ENVIRONMENTAL DEFENSE ACTION FUND	O	1,682,995.	FMV
(6)				

Part VI Unrelated Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	No			Yes	No		Yes	No	
(1)													
(2)													
(3)													
(4)													
(5)													
(6)													
(7)													
(8)													
(9)													
(10)													
(11)													
(12)													
(13)													
(14)													
(15)													
(16)													

Part VII **Supplemental Information**

Provide additional information for responses to questions on Schedule R. See instructions.